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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : C12N 15/27, A01K 67/027, C12Q 1/68, C07K 15/06, C07G 15/00, A61K 37/02	A1	(11) International Publication Number: WO 95/23862 (43) International Publication Date: 8 September 1995 (08.09.95)
(21) International Application Number: PCT/AU94/00103 (22) International Filing Date: 4 March 1994 (04.03.94) (71) Applicant (for all designated States except US): LUDWIG INSTITUTE FOR CANCER RESEARCH [AU/AU]; Melbourne Tumour Biology Branch, Royal Melbourne Hospital, Royal Parade, Parkville, VIC 3050 (AU). (72) Inventors; and (75) Inventors/Applicants (for US only): DUNN, Ashley, Roger [GB/AU]; Ludwig Institute for Cancer Research, Melbourne Tumour Biology Branch, The Royal Melbourne Hospital, Royal Parade, Parkville, VIC 3050 (AU). STANLEY, Edouard, Guy [AU/GB]; National Institute for Medical Research, The Ridgeway, Mill Hill, London NW7 1AA (GB). LIESCHKE, Graham, John [AU/AU]; Ludwig Institute for Cancer Research, Melbourne Tumour Biology Branch, The Royal Melbourne Hospital, Royal Parade, Parkville, VIC 3050 (AU). GRAIL, Dianne [AU/AU]; Ludwig Institute for Cancer Research, Melbourne Tumour Biology Branch, The Royal Melbourne Hospital, Royal Parade, Parkville, VIC 3050 (AU). FOWLER, Kerry, J. [AU/AU]; Ludwig Institute for Cancer Research, Melbourne Tumour Biology Branch, The Royal Melbourne Hospital, Royal Parade, Parkville, VIC 3050 (AU).	(74) Agent: SANTER, Vivien; Griffith Hack & Co., 509 St Kilda Road, Melbourne, VIC 3004 (AU). (81) Designated States: AU, CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>	
(54) Title: ANIMALS WITH TARGETED GENE DISRUPTION (57) Abstract The invention provides transgenic non-human animals in which genes encoding colony-stimulating factors have been specifically disrupted, so that the animals do not express the respective factors. In specific embodiments the invention provides mice in which expression of GM-CSF, G-CSF and/or CSF-1 is disrupted. These animals do not produce any bioactive CSF of the respective type. They are useful as models for a variety of conditions, and particularly for testing of therapeutic methods.		

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ANIMALS WITH TARGETED GENE DISRUPTION

This invention relates to animals having specifically designed alterations in pre-existing endogenous genetic loci, eg. animals having targeted
5 disruptions of specific genes. In particular, the invention relates to animals in which the gene for a colony-stimulating factor is disrupted so as to create a null allele, whereby the animal does not express any detectable colony-stimulating factor. The colony-
10 stimulating factor is granulocyte-macrophage colony-stimulating factor or granulocyte colony-stimulating factor. In a preferred embodiment of the invention, the animal is a rodent such as a mouse.

BACKGROUND OF THE INVENTION

15 Granulocyte-macrophage colony-stimulating factor (GM-CSF) is a haematopoietic growth factor which *in vitro* stimulates the survival, proliferation, differentiation and function of myeloid cells and their precursors, particularly neutrophil and eosinophil granulocytes and
20 monocyte/macrophages (for review, see 1). The *in vivo* effects of GM-CSF have been studied in murine models by injecting pharmacological doses of GM-CSF (2), by generating GM-CSF transgenic mice (3), and by reconstituting lethally irradiated mice with bone marrow
25 cells overproducing GM-CSF (4).

These studies confirm the haematopoietic activity of GM-CSF *in vivo*, and suggest that excess levels of GM-CSF may be implicated in some disease processes. However, the usual physiological role of GM-CSF is not well defined (5).
30 Endogenously-produced GM-CSF is not usually detectable in serum (6), and in humans altered serum levels have not correlated clearly with haematological or disease processes (1,6). It has been suggested that GM-CSF may be produced and act locally (1), but the cells producing GM-CSF *in vivo*
35 have yet to be identified. Moreover, it is not clear

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whether GM-CSF is an essential regulator for steady-state production of granulocytes and macrophages, or whether it is required as a regulator for emergency haematopoiesis in response to challenges such as bacterial infection. It is also not known whether GM-CSF is involved in the normal development of non-haematopoietic tissues.

Granulocyte colony-stimulating factor (G-CSF) is a haematopoietic growth factor which *in vitro* controls granulopoiesis. It stimulates the survival, proliferation, differentiation and function primarily of neutrophil granulocytes. As in the case of GM-CSF, the *in vivo* effects of G-CSF have been studied in murine models by injection of pharmacological doses of G-CSF, and by reconstitution of lethally irradiated mice with bone marrow cells transformed with a retroviral vector carrying cDNA encoding G-CSF (reviewed in Reference 7). However, as with GM-CSF, the usual physiological role *in vivo* of G-CSF is unclear. It may act as a regulator in steady-state granulopoiesis, or may function as a regulator for emergency granulopoiesis in response to specific challenges requiring increased neutrophil production, such as infection (7).

G-CSF and its isolation, characterisation, and recombinant production have been extensively reviewed, for example papers cited in References 5 and 7.

Until recently, genetic studies depended upon the discovery of random mutations (either spontaneous or induced) or of pre-existing genetic polymorphisms. However, following the rapid development of recombinant DNA technology and of identification of specific genes, particularly in mice, by analogy to genes from other species or from the biochemistry of the protein products which they encode, methods for specifically-targeted deletion or modification of genes have been developed. Provided that a cloned, genomic fragment of the chosen genetic locus is available, it is possible to generate null alleles by disruption of the gene, to modify functional

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properties of the gene such as transcriptional pattern,
mRNA or protein maturation pattern, or to modify the
ability of the protein to interact with other gene
products. This is achieved by using conventional
5 recombinant DNA methods to introduce the desired mutation
into a cloned DNA sequence of the chosen gene; the mutation
is then transferred by means of homologous recombination
into the genome of a pluripotent embryonic stem cell (ES
cell). The ES cells thus produced are transferred by
10 microinjection into mouse blastocysts in order to generate
germ-line chimeras. Animals homozygous for the desired
mutation are then generated by interbreeding of
heterozygous siblings.

These techniques are now widely used, and have
15 been employed to generate lineages of mice in which a
variety of genes are disrupted; these mice are often
referred to as "knock-out" mice. Many of these mutations
are lethal, causing death early in embryonic life or in the
perinatal period. The technique has been particularly
20 successful in producing "knock-out" mice in which genes for
molecules of immunological importance or for growth factors
are deleted. The techniques are well established, and a
variety of marker genes and genes employed to assist in
selection of cells which have undergone homologous
25 recombination rather than random integration of DNA are
available. A number of reviews have been published [7 to
11], and techniques for generation of transgenic mice in
general are reviewed in International Patent Application
No. WO 91/13150 by Ludwig Institute for Cancer Research.

30 While such gene targeting is useful in the
production of mouse models for genetically-determined human
diseases, which models can be used for testing potential
therapies, and while the techniques are well established in
principle, it is not possible to predict in advance whether
35 an animal line bearing a given targeted gene disruption can
be generated, or if so how readily practicable generation
of such a model will be and the best experimental approach

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to utilise. In particular, the frequency of transformation of the ES cells varies widely, from as little as 1 in 40,000 to as much as 1 in 150. While some of the factors involved in optimisation of transformation frequency are known, success is not easy to predict.

We have generated GM-CSF deficient and G-CSF deficient mice through targeted disruption of the GM-CSF and G-CSF genes respectively in embryonic stem cells. We have surprisingly found that while GM-CSF deficient mice have no major perturbation of haematopoiesis, they all have abnormal lungs and are prone to lung infections, implicating GM-CSF as being essential for normal pulmonary physiology and resistance to local infection. GM-CSF deficient mice are useful as a model system for the syndrome of alveolar proteinosis, and are particularly useful as a model system for the study of opportunistic infections and infections which are intractable to currently available therapies.

G-CSF deficient mice are neutropaenic, but have normal levels of monocytes in the periphery. They are prone to sub-clinical infections, and will be useful in testing the efficacy of anti-microbial agents, especially in settings of increased vulnerability. They will also be useful in assessing the virulence of microorganisms.

SUMMARY OF THE INVENTION

According to one aspect of the invention, there is provided a non-human animal carrying a disruption of a gene encoding a colony stimulating factor.

Preferably the animal is a rodent, for example a mouse, rat, rabbit or hamster, and more preferably is a mouse.

In one preferred embodiment, this aspect of the invention provides a non-human animal carrying a disruption of the gene encoding granulocyte-macrophage colony-stimulating-factor (GM-CSF), such that expression of GM-CSF

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is disrupted. Preferably the spleen cells from the animal are incapable of producing detectable levels of bioactive GM-CSF.

Also preferably the gene encoding GM-CSF is completely inactivated. Most preferably the animal carries a mutation comprising deletion of exons 1 and 2 and intron 1 between *ScaI* and *SmaI* restriction sites of the gene encoding GM-CSF.

In an alternative embodiment, this aspect of the invention provides a non-human animal carrying a disruption of the gene encoding granulocyte colony-stimulating factor (G-CSF), such that expression of the colony-stimulating factor is disrupted. Preferably the lung cells from the animal are incapable of producing detectable levels of bioactive G-CSF. Also preferably the gene encoding G-CSF is completely inactivated. Most preferably the animal carries a mutation comprising a deletion from the *NcoI* restriction site in exon 1 to the *BamHI* restriction site in exon 3 of the gene encoding G-CSF.

Optionally the animals may also carry one or more additional mutations which result in disruption of a specific gene. For example, a GM-CSF deficient animal may also carry a mutation resulting in disruption of the gene for G-CSF, or vice versa. Again the animal is preferably a mouse, and the additional gene disruption may alternatively be targeted to a gene encoding a growth factor selected from the group consisting of colony-stimulating-factor-1 (CSF-1); leukaemia inhibitory factor (LIF), and transforming growth factor- β 1 (TGF- β 1), or a cytokine, preferably an interleukin, including, but not limited to, an interleukin selected from the group consisting of interleukin-2, interleukin-3 and interleukin-6. Such double or multiple knock-out animals can be generated by crossing of animals in which the gene encoding GM-CSF or G-CSF is disrupted with animals in which the other desired gene(s) is disrupted. For example, the *osteopetrotic*

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mouse, a line which originated by spontaneous mutation, is known to be deficient in CSF-1, and has decreased levels of monocytes/macrophages and of osteoclasts. Preferably the animal is a mouse deficient in both GM-CSF and G-CSF, in
5 GM-CSF and CSF-1, or in both G-CSF and CSF-1.

Cell lines, such as bone marrow stromal cell lines, derived from the novel animals described herein are also within the scope of this invention.

According to a second aspect, the novel animals
10 of the invention, especially mice, provide a convenient model system for the study of disease. In one embodiment, this aspect of the invention provides a model system for the syndrome of alveolar proteinosis, both of the congenital form and the adult form, in which potential
15 methods for treatment of this condition can be tested.

In an alternative embodiment, this aspect of the invention provides a model system for the study of infectious diseases, especially bacterial infections of the lungs. In particular, this model system is useful for the
20 study of opportunistic infections of the type to which immunocompromised or immunodeficient individuals are prone, and also of infections which are intractable to currently-available therapies, including antibiotic treatment. Immunocompromised individuals include persons suffering
25 from cancer, especially those undergoing chemotherapy and/or radiotherapy, persons suffering from leukaemias, transplant recipients undergoing immunosuppressive therapy, and persons with autoimmune diseases undergoing immunosuppressive therapy. A particularly important group
30 of individuals prone to opportunistic infections are those suffering from acquired immunodeficiency syndrome (AIDS).

The animals of the invention are expected to be useful for the study not only of bacterial and viral infections, but also infections with fungi and mycoplasma.
35 Organisms suitable for study in the model system of the invention include, but are not limited to, *Listeria*, including *Listeria monocytogenes*, *Mycobacteria*, including

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Mycobacterium tuberculosis, *Mycobacterium intracellulare*,
Mycobacterium avium, *Mycobacterium bovis* and atypical
Mycobacteria; *Pseudomonas* species, including *Pseudomonas*
aeruginosa, Enterobacteriaceae, including *Escherichia coli*,
5 *Salmonella* species, *Klebsiella* species, including
Klebsiella pneumoniae and *Klebsiella oxytoca*; *Pneumocystis*
carinii; *Histoplasma*, including *Histoplasma capsulatum*;
Cryptococcus, including *Cryptococcus neoformans*;
Pasteurella, including *Pasteurella pestis* and *Pasteurella*
10 *pseudotuberculosis* (now known as *Yersinia pestis* and
Yersinia pseudotuberculosis respectively), and *Pasteurella*
multocida (also known as *Pasteurella septica*); and
Mycoplasma, including *Mycoplasma pneumoniae*.

According to a third aspect of the invention,
15 there is provided a method of treatment of pulmonary
infection comprising the step of administering to a subject
in need of such treatment an effective amount of GM-CSF or
of G-CSF. Preferably the GM-CSF or G-CSF can be
administered locally to the lung. This may be achieved by
20 means of aerosols or nebulisers, for example ultrasonically
nebulised formulations. Suitable carriers and excipients
are known in the art. In intensive care situations, the
CSF may be administered via an endotracheal tube.
Optionally the GM-CSF or G-CSF may be administered as
25 adjunctive therapy, for example concurrently with other
known treatments for the particular condition. This aspect
of the invention also provides a method of treatment of
alveolar proteinosis or of pulmonary infection, comprising
the step of administering to a subject in need of such
30 treatment an effective amount of GM-CSF.

Where the GM-CSF or G-CSF is administered
systemically, for example intravenously or subcutaneously,
it is contemplated that dose ranges comparable to those
known for use to stimulate granulopoiesis in patients
35 undergoing cancer chemotherapy are suitable. Where GM-CSF
or G-CSF is to be applied regionally to the lung, it is
contemplated that the dose range will be from 0.1 µg/kg

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body weight to 20 µg/kg body weight. The person skilled in the art will readily be able to determine suitable dose ranges by normal trial and error experimentation. In particular, the acceptable degree of toxicity at high doses will depend on the condition to be treated. Compositions for administration of GM-CSF or of G-CSF to the lung are also within the scope of the invention.

As will be discussed in detail below, we have surprisingly found that mice in which GM-CSF expression is disrupted are haematologically essentially normal, indicating that GM-CSF is not by itself required for development and maturation of granulocytes and monocytes/macrophages, at least in a steady-state situation. However, the increased susceptibility of the GM-CSF deficient mice to infection indicates that GM-CSF is required for "emergency" granulocyte and macrophage development. Similarly, mice in which G-CSF expression is disrupted are neutropaenic, but have essentially normal levels of monocytes/macrophages. Thus at least one other factor must be involved in steady state haemopoiesis, and the novel animals of the invention can be used to identify and isolate such factors.

Thus in a further aspect the invention provides a factor which is involved in regulation of steady-state haematopoiesis, and which is present in animals in which expression of GM-CSF or G-CSF is disrupted. Preferably the factor is also present in animals in which expression of both GM-CSF and G-CSF is disrupted, or in which expression of both GM-CSF and CSF-1 is disrupted.

As is well known in the art, such haematopoietic growth factors in mice have a high degree of homology with the corresponding factors in humans, and this homology is sufficient to enable a gene encoding a murine factor to be used as a probe for the isolation of the corresponding human factor. Even if the degree of homology is relatively low, iterative screening at low stringency can be used. Therefore this aspect of the invention also provides a gene

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encoding a factor involved in regulation of steady-state haematopoiesis, and which is present in animals in which expression of GM-CSF or G-CSF is disrupted, which can be used for isolation of the corresponding gene. Preferably the gene from the animal has at least 30% homology to the human gene, more preferably at least 50% homology, and even more preferably at least 90% homology.

For treatment of individuals with alveolar proteinosis, including congenital alveolar proteinosis, gene therapy may be the most appropriate course. Methods for such gene therapy are known in the art, given that the identity of the defective gene is known and that the appropriate DNA has been isolated. In a particularly preferred form, it is contemplated that intravenous administration of liposomal formulations of cDNA encoding GM-CSF will be used, as described for example by N. Zhu, D. Liggitt and R. Debs et al, Science, 1993 261 209: "Systemic Gene Expression After Intravenous DNA Delivery Into Adult Mice", the contents of which are herein incorporated by reference.

Since it is evident from our results that genetically-determined deficiency of a colony-stimulating factor leads to increased susceptibility to infections, especially Gram-negative pneumonias, the invention also provides a method of diagnosis of a colony-stimulating factor deficiency, comprising the step of testing a tissue or cell sample from a subject suspected of suffering from such a deficiency for the absence of the gene encoding said factor. Preferably the colony-stimulating factor is GM-CSF, but G-CSF and M-CSF are also included within the scope of the invention. The test may suitably be carried out using peripheral blood lymphocytes, but may also use tissue obtained by biopsy, for example from lung. A test may be carried out using methods which are known per se, such as polymerase chain reaction, or reaction with a probe labelled with a detectable marker, for example using *in situ* hybridisation.

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In a sixth aspect, the invention provides targeting constructs for disruption of the genes encoding GM-CSF and G-CSF respectively, as described herein.

BRIEF DESCRIPTION OF THE DRAWINGS

5 Figure 1 shows the scheme used for generation of GM-CSF deficient mice, and the strategy for disruption of GM-CSF gene, showing the intact GM-CSF gene with 4 exons (1,2,3&4), location of restriction enzyme sites (E=EcoRI, B=BglII, SC=ScaI, SM=SmaI, X=XmnI, P=PstI, H=HindIII, SL=Sall), probe A (external to construct, diagnostic for
10 targeted disruption) and probe B (corresponding to deleted sequences) and sites of PCR primer hybridisation (a=Ed120, b=Ed121, c=NEB#1224, see text). In the disrupted allele, exons 1 and 2 and intron 1 are deleted, replaced by the *E. coli* lac-z gene, human β -globin 3' untranslated and polyA
15 addition sequences (β G) and PGK-neo (see text).

Figure 2 illustrates how deletion of GM-CSF exons 1-2 results in lack of GM-CSF immunoreactivity and bioactivity in spleen conditioned media. Southern blot of
20 BglII digested tail DNA from PCR-genotyped GM+/+ and -/- mice probed first with probe A (see Figure 1A), confirming that deleted exons are present only in wild-type mouse, then reprobed without washing with probe B, confirming that the -/- mouse is homozygous for the disrupted GM-CSF allele
25 and lacks the deleted sequences. Levels of immunoreactive (panel B) and bioactive (panel C) GM-CSF (solid columns) and bioactive IL-3 (open columns) in media conditioned by concanavalin-A and IL-2 stimulated splenocytes are shown for individual GM+/+ and GM-/- mice. In panel C, shaded
30 column shows GM-CSF bioactivity after neutralisation with an anti-GM-CSF antibody.

Figure 3 shows typical appearances of lung histopathology in GM-CSF deficient mice:

- 35 A. Normal C57Bl/6 lung, central region (13 week, H&E X40)
B&C. GM-/- lung with moderate (B) and extensive (C)

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- lymphoid hyperplasia around central and peripheral vessels (11 week, H&E X40)
- 5 D. Detail of alveoli in GM-/- lung with large foamy macrophages, neutrophils and eosinophilic alveolar debris (7 week, H&E X400)
- 10 E-H. Immunoperoxidase staining of perivascular mononuclear cells in GM-/- mouse lung (16 week, X200) with primary antibodies [specificity]: E, PBS [negative control]; F, RA3-6B2 [B220]; G, GK1.5 [CD4]; H, 53.6-7 [CD8] (same nodule in each panel)
- 15 I-K. Focus of infection with fungal element in GM-/- lung (16 week, X400): I, positive control for Grocott stain; J, 5-10 μ m Grocott-positive fungal particles; K, PAS-positive fungal particles in same location of contiguous section
- 20 L-N. Bacterial infections in GM-/- lungs: L, Gram stain control with Gram-positive and Gram-negative bacilli; M, Gram-positive coccobacilli in pneumonic consolidated area (7 week, X400); N, purulent acute *Pasteurella pneumotropica* lobar pneumonia in mouse dying at 4 weeks of age (H&E, X400)
- 25 O-Q. Features of 24 week GM-/- lung: granular refractile PAS-positive homogenous eosinophilic material in contiguous alveoli (O, H&E X400; P, PAS X400); Q, emphysematous area (arrowed) with persistent peribronchovascular lymphoid hyperplasia.
- 30 Figure 4 shows the ultrastructural appearance of lungs from GM-CSF deficient mice. Electron microscopic sections of 24 week old GM-/- lung (same mouse as Figures 30-Q). Solid bars indicate 1 μ m.
- 35 A. Type II surfactant-producing alveolar cell with characteristic intracytoplasmic lamellar bodies. The adjacent alveolus contains Type-C lamellar body.

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- B. Numerous intra-alveolar Type-C lamellar bodies with characteristic "onion" appearance.
- C. Intra-alveolar macrophage with phagosomes containing "onion" structures resembling Type-C lamellar bodies.

5

Figure 5a shows the screening strategy used to distinguish between wild-type and disrupted G-CSF alleles by genomic Southern analysis of XbaI digests of DNA.

10

Hatched regions represent genomic sequences flanking the G-CSF gene. Black regions represent the exons of the G-CSF gene, and β G represents 3' untranslated sequences and polyA addition motif from the human β -globin gene.

15

Figure 5b illustrates the targeting construct, designated pKOGCSF3b used to generate the ES cell line 5.4 from which the G-CSF deficient animals were derived.

20

Figure 6 shows Southern blot analysis of the ES cell line 5.4, from which founding G-CSF $^{+/-}$ chimeras were generated. The probe used in the left hand panel hybridizes with a region outside the targeting vector, and is diagnostic for homologous integration of the targeting vector at one G-CSF allele. The probe for β -galactosidase, used in the right-hand panel, hybridises exclusively with a region within the disrupted allele.

25

Figure 7 shows the PCR-based screening strategy for the disrupted G-CSF allele. The primers are described in the text.

Figure 8 shows PCR analysis of DNA from tail tissue of G-CSF $^{+/-}$ chimeras and their progeny.

30

Figure 9 shows pups born from matings of heterozygous G-CSF $^{+/-}$ parents.

Figure 10 shows PCR analysis of tail tissue DNA from G-CSF $^{-/+}$ chimeras and their progeny, demonstrating germline transmission.

35

Figure 11 shows results of assays on conditioned media from organs of G- $^{-/-}$, GM- $^{-/-}$ and wild-type mice.

Figure 12 shows that G- $^{-/-}$ mice are unable to produce G-CSF. Results of conditioned media from organs of

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G+/+ (wild-type) mice are compared with those of G-/- and GM-/- mice.

DETAILED DESCRIPTION OF THE INVENTION

5 The invention will now be described by way of reference only to the following non-limiting examples, and to the figures.

Abbreviations

The abbreviations used herein are as follows:

	CSF	colony-stimulating factor
10	G-CSF	granulocyte colony-stimulating factor
	GM-CSF	granulocyte-macrophage colony-stimulating factor
15	M-CSF	macrophage colony-stimulating factor
	ES cells	embryonic stem cells
	IL	interleukin
	PCR	polymerase chain reaction
	Taq polymerase	<i>Thermus aquaticus</i> DNA polymerase
20	ELISA	enzyme-linked immunoadsorbent assay
	H&E	haematoxylin and eosin stain
	PAS	Periodic acid-Schiff stain
25	SCM	spleen-cell conditioned medium/media
	SCF	stem cell factor
	G418	Geneticin (Gibco, Grand Island, NY)
	bp	base pairs

30 Cell lines, vectors and antibodies

Cell lines used herein are as follows:

COS cells cell line of monkey origin able to support replication of vectors comprising the SV40 origin of

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replication.

E14 ES cells derived from mice of
strain 129/OLA (see Reference 17)
FDC-P1 cell line which responds to GM-
CSF and IL-3
32-D cell line which responds
specifically to IL-3
All these lines are well known in the art.

Vectors used herein are as follows:
pIC20H

Antibodies used herein are as follows:

MP1-22E9	specific for GM-CSF
MP1-31G6	second antibody used in ELISA
RA3-6B2	specific for B cells
187.1	specific for B cells
GK1.5	specific for T helper cells
59.6-7	specific for T suppressor cells
53-7.8	specific for pan T cell marker

MATERIALS AND METHODS

20 Statistics

Data are given as mean \pm standard deviations. To test for statistically significant differences, the unpaired Student's t-test and Chi-squared test were used.

25 Generation of targeted ES cell colonies and chimeric mice
In their general aspects, the methods employed are known *per se*. Methods for isolation and injection of mouse blastocysts, and implantation into host females for generation of transgenic mice, are extensively reviewed in the volume "Manipulating the Mouse Embryo - A Laboratory
30 Manual" by B. Hogan, F. Costantini and E. Lacy (Cold Spring Harbor Laboratory, 1986).

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Example 1 GM-CSF targeting vector and isolation of targeted ES cell clones.

The GM-CSF targeting vector, illustrated in Figure 1 contained from 5' to 3' in plasmid pIC20H, approximately 900 bp of the GM-CSF promoter (*RsaI-ScaI*) (13,14), the *E. coli* lac-z gene modified at the 5' end, an approximately 700 bp fragment of the 3' untranslated region and polyA addition motif from the human β -globin gene (*EcoRI-AccI*), the PGK-neo selectable marker (15), and approximately 10 kb of GM-CSF genomic sequence from a previously isolated lambda clone (14). The targeting vector was constructed to delete GM-CSF exons 1 and 2 and intron 1 between *ScaI* and *SmaI* sites because in previous studies, cDNAs with this region deleted produced no GM-CSF activity when over expressed in COS cells (16). The vector was linearized at a *SalI* site in the pIC20H polylinker prior to electroporation into E14 embryonal stem (ES) cells derived from mice of the strain 129/OLA (17), which were maintained and subsequently selected in medium containing the antibiotic G418, as previously described (18). After 8 days of G418 (Geneticin; Gibco) selection, individual G418-resistant colonies were cloned and replated.

The polymerase chain reaction (PCR) was used to screen DNA from each ES cell colony for integration of a targeting vector by homologous recombination. The PCR primers were:

- "a" 5'-CCAGCCTCAGAGACCCAGGTATCC-3', corresponding to sequence 5' of the *RsaI* site in the GM-CSF promoter;
- "b" 5'-GTTAGAGACGACTTCTACCTCTTC-3', corresponding to sequence in exon 2 of the GM-CSF gene in the region deleted by targeted construct integration; and
- "c" a M13 (-47) 24mer sequencing primer (New England Biolabs, Beverly, MA, #1224), corresponding to the 5' region of the *E. coli* lac-z gene.

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These are illustrated in Figure 1. In PCR reaction mixtures, primers "a" and "b" generate a 1.2 kb product from wild-type DNA, and "a" and "c" a 1.0 kb product from DNA containing a correctly integrated targeted construct.

5 PCR reaction mixtures (20 μ l) contained approximately 250ng DNA, 67mM Tris-HCl (pH 8.8), 16.6 mM (NH₄)₂SO₄, 0.45% Triton X-100, 200 μ g/ml gelatin, 1.5 mM MgCl₂, 250 μ M of each deoxynucleoside triphosphate, 12.5ng of each primer and 1.5 U of Taq polymerase.

10 Following initial denaturation (95°C [150s]), 40 amplification cycles were performed (95°C [50s], 60°C [50s], 72°C [60s]). The structure of targeted alleles identified by PCR was confirmed by Southern blot analysis of DNA from tail tissue of mice homozygous for the

15 disrupted GM-CSF allele, which were generated as described in Example 9 below. BglIII-digested DNA was probed with a radiolabelled DNA fragment corresponding to GM-CSF genomic sequences which were deleted from the targeting construct (probe A, Figure 1, PstI-PstI fragment) to verify that

20 these sequences were absent from the presumptive GM-CSF homozygous null mice, and reprobed with a probe B (Figure 1) corresponding to sequences lying outside the targeting construct (the BglIII-XmnI genomic fragment 5' of the RsaI site in the GM-CSF promoter).

25 Example 2 Generation of Chimeric Mice.

Two clonally independent ES cell lines (designated GM4 and GM6) with a targeted GM-CSF gene disruption were selected for injection into C57BL/6 mouse blastocysts. The resulting male chimeras from both cell

30 lines transmitted the disruptive mutation through the germline in matings with females from a C57BL/6 background, to generate heterozygous male and female progeny. These heterozygous progeny were interbred to generate mice homozygous for the disruptive mutation. GM-CSF genotypes

35 of mice have been designated as follows:

wild-type, GM+/+;

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heterozygous GM+/-;

homozygous GM-/-.

The GM-CSF status of mice was routinely assessed by PCR analysis of DNA from tail tissue of the mice. GM-/- mice were subsequently bred from GM-/- x GM-/- matings, and to generate similarly outbred 129/OLA x C57BL/6 GM+/+ control mice, GM+/+ mice were bred from first- and second-generation GM+/+ littermates. Mice were kept in a conventional animal house. Except where stated, observations come from the GM4 lineage.

Example 3**Verification of GM-CSF gene disruption**

To confirm the structure of the targeted allele in GM-/- mice, Southern blotting analysis was performed on BglII-digested tail DNA from randomly-selected GM+/+ and GM-/- mice which had been genotyped by PCR, using a probe corresponding to the exon 1 and 2 sequences deleted in the targeted GM-CSF gene. As expected, GM+/+ but not GM-/- tail DNA contained a 2.3 kb species hybridizing with the exon 1-2 probe. GM-/- DNA contained the predicted 7.7 kb species when hybridized with a probe corresponding to sequences lying outside the targeting vector, as shown in Figure 2A.

Example 4**Spleen cell-conditioned media and GM-CSF assays.**

Spleen cell-conditioned media were prepared as previously described (19), except that the splenocytes were stimulated with concanavalin-A (5µg/ml, Boehringer-Mannheim, Germany) and interleukin-2 (100U/ml, Amgen, Thousand Oaks, CA). To assay immunoreactive GM-CSF, an ELISA was used with the antibodies MP1-22E9 and biotinylated MP1-31G6 (Pharmingen, San Diego, CA) with an avidin-biotinylated horseradish peroxidase (Dako, Copenhagen, Denmark) detection system with a sensitivity of 50 pg/ml. To assay bioactive GM-CSF, the proliferative response of FDC-P1 cells, measured by ³H-thymidine

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incorporation, was used, adjusting for interleukin-3 (IL-3) bioactivity on 32-D cells, using methods previously described (20). The MP1-22E9 neutralising antibody was used to confirm the specificity of the putative GM-CSF bioactivity. Standards used were recombinant murine GM-CSF (Schering-Plough, Kenilworth, NJ) and IL-3 (10^7 U/mg, Boehringer-Mannheim, Germany).

To confirm that tissues from GM-/- mice lacked GM-CSF, spleen cell-conditioned media (SCM) made from GM+/+ and GM-/- splenocytes were assayed for immunoreactive and bioactive GM-CSF. Using a GM-CSF ELISA, no immunoreactive GM-CSF was detected in SCM from GM-/- splenocytes while SCM from GM+/+ splenocytes contained more than 2700 pg/ml of GM-CSF. These results are summarized in Figure 2B. To assay for bioactive GM-CSF, the proliferative response of FDC-P1 cells, which respond to GM-CSF and IL-3, was assayed in parallel to that of 32-D cells, which respond only to IL-3.

There was no detectable bioactive GM-CSF in SCM from GM-/- splenocytes, whereas GM+/+ SCM contained more than 100pg/ml bioactive GM-CSF which was at least 95% neutralised by an anti-GM-CSF antibody. To confirm the potency of these SCM, all were shown to contain bioactive IL-3, although SCM from GM-/- mice contained less IL-3 than did SCM from control mice (GM-/-, 16 ± 2 U/ml, $n=4$; GM+/+, 49 ± 20 U/ml, $n=4$; $p < 0.01$). The inability of GM-/- tissues to produce GM-CSF in vitro was confirmed by studies on muscle and kidney conditioned media, using microwell assays of FDC-P1 cells (3).

30 Example 5 Viability and fertility

From initial matings of GM+/- mice, litters of 10 ± 3 pups ($n=8$, GM6) resulted, with the genotypes GM+/+, +/- and -/- represented in approximately Mendelian ratios amongst live births surviving to weaning, indicating that there was no selective fetal or neonatal loss of GM-/- pups. Survival of mice from initial litters was normal

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amongst GM-/- pups (>91%, n=35) compared to their GM+/+ littermates (>88%, n=17), with a median follow-up for GM-/- mice of 220 days [range 0-334] days and for GM+/+ mice of 209 days [range 0-313] days; median survival has not been attained. Post-mortem examination of the two dead GM-/- adults in this cohort indicated that one, which died at 153 days, had lymphoid leukaemia and the other, which died at 167 days, had hepatitis; both displayed the characteristic GM-CSF deficiency lung disease which is described in detail below. From initial matings of male and female GM-/- mice, litters of 9±1 pups (n=5) resulted, indicating that GM-CSF deficiency did not grossly impair fertility or fecundity.

Example 6 Haematological analysis of GM-CSF deficient mice

Haemoglobin, total leukocyte and platelet estimates were performed on 1:4 dilutions of eye-bleed samples on a Sysmex-K1000 automated counter, and manual 100-cell leukocyte differential counts were performed on May-Grunwald/Giemsa stained smears.

Progenitor cells were assayed in semisolid agar cultures of bone marrow, spleen or peritoneal cells by a standard method, as previously described (19,21). Colony formation was stimulated by purified recombinant bacterially-synthesized growth factors at the following final concentrations: human G-CSF [10 ng/ml], murine GM-CSF [10 ng/ml], murine IL-3 [10 ng/ml], murine M-CSF (CSF-1) [10 ng/ml] rat stem cell factor [100 ng/ml], murine IL-6 [500 ng/ml], and spleen cell-conditioned medium (10%). Colonies were typed on stained whole-plate preparations as previously described (21).

The haematological parameters of peripheral blood of 6-7 weeks GM-/- mice showed no significant difference from those of blood of GM+/+ littermates, as illustrated in Table 1.

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Table 1
Haematological Analysis of GM-CSF - deficient mice

	GM+/+	GM-/-
Haemoglobin (g/l)	162 \pm 7	163 \pm 5
5 total leukocytes ($\times 10^9$ cells/l)	5.9 \pm 1.0	7.4 \pm 2.4
neutrophils ($\times 10^9$ cells/l)	1.1 \pm 0.3	1.2 \pm 0.6
lymphocytes ($\times 10^9$ cells/l)	4.7 \pm 1.1	6.0 \pm 2.0
monocytes ($\times 10^9$ cells/l)	0.12 \pm 0.10	0.13 \pm 0.13
eosinophils ($\times 10^9$ cells/l)	0.09 \pm 0.06	0.13 \pm 0.13
10 platelets ($\times 10^9$ cells/l)	838 \pm 105	822 \pm 109

10 mice in each group were tested

Results are expressed as mean \pm S.D.

GM-/- mice tended to have greater variation in their granulocyte levels, as illustrated by the granulocyte levels of 5-7 week mice: GM-/- $1.7 \pm 1.5 \times 10^9/l$ [n=33, range 0.2-6.6]; GM+/+ $1.3 \pm 0.7 \times 10^9/l$ [n=15, range 0.29-3.1], with 24% of GM-/- mice having neutrophils greater than $2 \times 10^9/l$ compared to 15% of GM+/+. Spleens of GM-/- mice showed increased variability in mass (e.g. spleen mass of 6 weeks mice, n=6/group: GM+/+ 106 ± 9 [range 94-120] mg; GM-/-, 114 ± 42 [range 64-191] mg).

Haematopoiesis was evaluated in five pairs of 6-8 week GM+/+ and -/- mice. Femoral cellularity was equivalent (GM+/+ $34.0 \pm 5.3 \times 10^6$, GM-/- $27.4 \pm 7.0 \times 10^6$ cells/femur, n=3) and the myeloid:erythroid ratios were equivalent (20 ± 2 and 17 ± 6 % erythroid cells respectively). The results of analysis of marrow progenitor cells responsive to various stimuli, summarized in Table 2, revealed no major difference in total progenitor cell frequency, and colony subtyping indicated no differences in frequencies of granulocyte, granulocyte-macrophage,

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macrophage, eosinophil, megakaryocyte, erythroid and blast marrow progenitor cells. To assess the role of GM-CSF in the phenomenon of "spontaneous" *in vitro* colony formation, crowded unstimulated cultures of up to 2×10^5 GM-/- marrow cells/ml were established; colony formation was somewhat reduced, indicating that "spontaneous" colony formation is not solely dependent on *in vitro* GM-CSF production.

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Table 2

Haematopoietic progenitor cells in GM-CSF deficient mice

	Cells cultured (n)	Stimulus	Total colonies (mean \pm S.D.)	
			GM+/+	GM-/-
5	25,000 bone marrow (5)	GM-CSF	59 \pm 12	51 \pm 19
		G-CSF	19 \pm 3	15 \pm 7
		M-CSF	64 \pm 16	59 \pm 25
		IL-3	68 \pm 12	68 \pm 19
		SCF	29 \pm 3	22 \pm 13
		IL-6	18 \pm 8	18 \pm 10
		SCF + GM-CSF	66 \pm 12	63 \pm 26
10	200,000 bone marrow (5)	Saline	79 \pm 62	39 \pm 44
	100,000 spleen (3)	GM-CSF	3 \pm 4	8 \pm 2
		SCF	0	4 \pm 2*
		SCF + GM-CSF	3 \pm 1	20 \pm 2*
		SCM	4 \pm 3	18 \pm 2*

(n) indicates the number of individual mice studied.

* indicates $p < 0.001$.

Cultures were stimulated by: granulocyte-macrophage-CSF (GM-CSF), granulocyte-CSF (G-CSF), CSF-I (M-CSF), interleukin-3 (IL-3), stem cell factor (SCF), interleukin-6 (IL-6), spleen cell-conditioned medium (SCM), or a combination of these.

Genotype is indicated as wild-type (GM+/+) or GM-CSF deficient (GM-/-).

The spleen masses for these groups of mice were: GM+/+, 64 \pm 8 mg; GM-/-, 114 \pm 22 mg ($p < 0.001$).

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Analysis of splenic haematopoiesis showed a 3 to 6 fold increase in frequency of progenitor cells in GM-/- mice, and as the GM-/- spleens were significantly larger, this represented an absolute increase in number of splenic progenitor cells (Table 2). Peritoneal washings recovered $6.0 \pm 1.4 \times 10^6$ and $5.1 \pm 1.4 \times 10^6$ cells from GM+/+ and GM-/- mice respectively; these washings comprised 65% macrophage in GM+/+ and 63% macrophages in GM-/- mice.

Example 7 Histological examination of GM-CSF
deficient mice and characterisation of
pulmonary disease

Formalin-fixed paraffin-embedded sections of mouse organs were stained using standard techniques with haematoxylin and eosin (H&E), and selected sections were stained with the Grocott methenamine silver and periodic-acid-Schiff (PAS) stains, and Ziehl-Neelsen and Wade-Fite acid fast stains. Immunoperoxidase staining of lung tissue was performed using a standard method (22) on 4 μ m frozen sections, with the following antibodies: RA3-6B2 [specific for B220] (23), 187.1 [specific for κ light chain] (24), GK1.5 [specific for CD4] (25), 53.6-7 [specific for CD8] (26), and 53-7.8 [specific for CD5] (Pharmingen, San Diego, CA). For electron microscopy, random strips of fresh lung tissue were immersion-fixed in 2.5% glutaraldehyde in 1% sodium cacodylate buffer (pH 7.4), postfixed in 2% aqueous osmium tetroxide, and embedded in Araldite-Epon resin using standard techniques. Thin sections were stained with alkaline lead citrate and uranyl acetate, and viewed in a Jeol 1200EX electron microscope.

Histological sections from GM-/- mice aged 1 day to 24 weeks and age-matched GM+/+ controls of age were reviewed. No major differences between GM+/+ and GM-/- were evident in haematopoietic tissues; marrow cellularity was normal and some GM-/- spleens were enlarged with hyperplasia of both red and white pulp. Whilst at birth the lungs of GM-/- and GM+/+ animals were

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indistinguishable, within the first 3 weeks of post-natal development striking abnormalities were evident in the lungs of GM-/- mice. Individual lungs from GM-/- mice consistently showed focal aggregates of lymphoid cells in peribronchial and perivascular areas, but little infiltration of alveolar septa; typical results are shown in Figure 3A-C. Immunoperoxidase staining of 12-16 week GM-/- lungs showed these cells to be predominantly B-lymphocytes on the basis of positive staining for B220 and K-light chain. About 20% of lymphocytes were T-cells, predominantly of the CD4⁺ type (Figure 3E-H). The lymphoid foci were particularly marked around large hilar vessels, occasionally assuming a follicular organization, but the cells exhibited little mitotic activity. The foci characteristically extended peripherally around small bronchioles and arterioles.

Focal consolidation was prevalent, and consisted of an intensely eosinophilic alveolar exudate containing numerous mature and fragmented neutrophils and macrophages (e.g Figure 3D,M,N). Associated bronchioles contained purulent exudate. In almost every GM-/- mouse of up to 6 weeks of age, acute inflammatory areas were found in the distal tips of the pulmonary lobes. Lobar consolidation was frequently observed (Figure 3M&N). In lungs of older mice (6-12 weeks), the lymphoid hyperplasia predominated; alveoli contained large foamy macrophages and granular debris, and foci of acute inflammation, comprising aggregates of up to 50 neutrophils and mononuclear cells, were sometimes seen (Figure 3D). Granular, eosinophilic, PAS-positive material within alveoli was present in all lungs examined (e.g. Figure 3D&K), apparently accumulating and becoming confluent in some alveoli by as early as 3 weeks. This material was present until at least 6 months of age, and in some areas of 12-24 week GM-/- lungs contiguous alveoli containing this material resembled the appearances of alveolar proteinosis (Figure 3O&P).

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Ultrastructurally, surfactant-producing type-II alveolar cells were readily identified by their characteristic cytoplasmic lamellar bodies (Figure 4A); the alveolar debris included numerous type-C lamellar bodies (Figure 4B), and these onion-like bodies were seen within phagosomes of intra-alveolar macrophages (Figure 4C).

Peripherally, the alveolar spaces of lungs from older mice (24 weeks) were large, suggesting an emphysematous process (Figure 3Q). One 4 week-old GM-/- mouse died with florid lobar pneumonia (Figure 3N) from which *Pasteurella pneumotropica* was isolated, corresponding to Gram-negative organisms evident in lung sections. A survey of GM-/- lungs with Grocott and PAS stains identified foci of 5-10 μ m diameter fungal elements in 3/15 GM-/- lungs but 0/7 GM+/+ lungs (e.g. Figure 3I-K). Surveys of sections with Gram stain identified large numbers of Gram-positive coccobacilli in one pneumonic area (Figure 3L&M). No mycobacterial infections were evident with Ziehl-Neelsen and Wade-Fite stains. One 6 week-old GM-/- mouse had developed a chronic pulmonary abscess with an organized wall lined by foamy macrophages and containing neutrophil-rich pus.

Example 8 GM-CSF Deficient Mice Can Be Used to Test
Host Response to Infection

Ten wild-type and ten GM-CSF deficient mice were challenged by intravenous injection with *Listeria monocytogenes*, at a dose of 5×10^3 colony forming units per mouse. Haematological parameters and bacterial counts in the liver and spleen of mice sacrificed at day 1 and day 5 after infection are summarised in Table 3.

Table 3
Haematological Response to Listeria Infection

Haematological Parameter	Before injection		Day 1		Day 5	
	GM+ / + (10)	GM- / - (10)	GM+ / + (10)	GM- / - (10)	GM+ / + (10)	GM- / - (10)
Haemoglobin g/L	162±7	163±5	148±9	152±13	134±6	127±6
Platelets x10 ⁹ /L	838±105	822±109	750±96	710±99	776±375	352±340
Total White Cells x10 ⁹ /L	5.9±1.0	7.4±2.4	10.9±3.5	8.3±2.0	11.8±1.6	5.2±2.2
neutrophil	1.1±0.3	1.2±0.6	4.6±1.4	1.5±0.9	3.4±1.1	1.14±0.55
lymphocytes	4.7±1.1	6.0±2.0	6.3±2.3	6.0±1.4	7.3±0.9	3.5±2.1
monocytes	0.12±0.10	0.13±0.13	0.18±0.25	0.12±0.13	1.11±0.18	0.55±0.25
Eosinophils	0.09±0.06	0.13±0.13	0.11±0.13	0.12±0.15	0.03±0.06	0

Listeria organism counts (log mean ± SD)					
	Day 1		Day 5		
	GM+ / +	GM- / -	GM+ / +	GM- / -	
Spleen	(5)	(5)	(5)	(5)	
per organ	5.00±0.48	5.11±0.35	2.65±0.10	5.98±1.44	
per gm	6.05±0.45	6.11±0.40	3.26±0.18	6.76±1.66	
Liver					
per organ	4.17±0.51	5.02±0.40	2.04±0.41	6.15±1.92	
per gm	4.24±0.51	5.03±0.46	1.96±0.39	6.16±2.00	

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Results for the haematological parameters are presented as mean \pm standard deviation, while those for organism counts are presented as log mean \pm standard deviation. The figures in brackets represent the number of mice in each group.

On Day 5, 2 GM-/- mice were dead, with organism counts of greater than 10^8 per organ for spleen or liver. The surviving GM-/- animals had 5.66 ± 0.95 organisms g/spleen and 4.81 ± 1.07 organisms/liver, which was still much higher than the counts for GM+/+.

Haematologically, the GM-/- mice showed a perturbed response, with an impairment of neutrophil mobilisation on Day 1 and neutrophil production on Day 5, despite the presence of established *Listeria* infection. The GM-/- mice were unable to control the *Listeria* infection as well as could their littermate controls, showing a higher bacterial load in the liver on Day 1, and a higher bacterial load in both liver and spleen on Day 5. Thus the GM-CSF deficient mice do constitute a useful model for testing the host response to infection.

Example 9

GM-CSF Deficient Mice Are A Suitable Model For Vulnerability To Infection

Blood counts on age-matched 3 week-old GM-/- mice reared under conventional conditions were compared with those from mice reared in a microisolation ("specific pathogen-free"; SPF) environment. The results are summarised in Table 4.

Table 4
Comparison between GM-/- Mice Reared in Conventional and SPF Environments

Parameters	SPF	Conventional	p value (t test)
Whole body mass (g)	13.4±0.8	11.9±2.1	NS
liver mass (mg)	576±63	576±95	NS
spleen mass (mg)	72±26	95±8	<0.1 (NS)
spleen mass % body wt	0.53±0.16	0.87±0.16	<0.02
Haemoglobin g/L	135±6	148±9	<0.05
Total White Cells x10 ⁹ /L	7.7±2.2	8.9±3.4	NS
Neutrophil	0.99±0.70	2.98±2.02	(NS) <0.1
Lymphocytes	5.55±2.12	5.23±1.01	NS
Monocytes	0.13±0.06	0.69±0.50	<0.05
Eosinophils	0±0	0±0	NS
Platelets x10 ⁹ /L	763±91	804±16	NS

NS = not significant

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The mice from the microisolation environment had lower neutrophil and monocyte levels, strongly suggesting that the mice from the conventional environment had been exposed to a range of infectious agents during their first three weeks of life. Despite the lack of statistical significance as assessed by Student T-Tests, there is a marked indication that the mice from the microisolation environment had lower neutrophil levels and a profile suggestive of lesser degree of infection. Thus there is strong evidence for an environmental influence on these parameters.

Discussion

Since the actions of various haematopoietic regulators on target cells appear to overlap, it is possible that individual regulators might be wholly or partially redundant (27). This proposition is most directly assessed by analysis of mice deficient in individual regulators or combinations of regulators. Our analysis of GM-CSF deficient mice up to 3 months of age found that there was no perturbation of major haematopoietic populations in marrow or blood. There are two obvious ways in which to interpret this observation: either GM-CSF may not be a pivotally important regulator of normal haematopoiesis, or alternatively, GM-CSF may contribute to the maintenance of steady-state haematopoiesis, but in its absence other haematopoietic regulators are able to replace the role normally carried out by this regulator. This latter possibility would be further supported if elevated levels of compensatory regulators were demonstrated in the GM-CSF deficient mice. Splenic progenitor cell levels were increased in GM-CSF deficient mice, but this may in part reflect subclinical pulmonary infection in the animals; it will be important to assess to what extent the pulmonary disease process is impacting on "steady-state" haematopoiesis, particularly in the spleen.

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The possibility that GM-CSF is a wholly redundant molecule can clearly be discounted in the light of our observation that all GM-CSF deficient mice show abnormal lung development. Our initial studies have not identified the nature of the intrinsic pulmonary defect. However, the same pathology is not seen in control animals, strongly suggesting that it is due to GM-CSF deficiency. A prominent pathological feature is granular eosinophilic intra-alveolar material, which sometimes becomes confluent. This may be a local product, such as pulmonary surfactant phospholipid and protein, either produced in excess or cleared too slowly due to a functional defect of macrophages. The presence of numerous type-C lamellar bodies within alveoli and macrophages is consistent with the accumulation of surfactant components (28). In some areas, the histological appearance of lungs from GM-CSF deficient mice bears a striking resemblance to some forms of alveolar proteinosis, a heterogeneous group of congenital and acquired lung disorders characterised by the intra-alveolar accumulation of surfactant protein, and often complicated by infection (28-31). The roles of GM-CSF or of cells functionally activated by GM-CSF in the production of surfactant by type II alveolar cells and on surfactant clearance have not been studied. Whilst clearance of mucinous secretions in larger airways may also be defective, retained secretions are not prominent in the lungs of younger GM-CSF^{-/-} mice, which already have excessive alveolar material.

A prominent feature of the lung pathology of GM-CSF deficient mice is the presence of infection with a range of opportunistic organisms, including both bacterial and fungal agents. Interestingly, infection of immunocompromised CD4⁺ T-cell depleted mice with *Pneumocystis carinii* results in peribronchovascular lymphoid hyperplasia of similar appearances to that in GM^{-/-} mice (32), suggesting that the lymphoid hyperplasia may be an aspect of the general pulmonary response to

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infection. When infection occurs, the host response is usually adequate to prevent death, as only less than 0.5% of GM-/- mice have died from lung infection. However, the lymphoid hyperplasia, prevalent acute bacterial pneumonia, and subclinical multifocal fungal infection suggest that the host response to infection is defective. *Pneumocystis carinii* pneumonia is common in AIDS patients, frequently being the ultimate cause of death.

The presence of large numbers of neutrophils and macrophages within GM-/- lungs indicates that inflammatory cells can still be localized in the lung in the absence of GM-CSF to activate acute and chronic inflammatory processes within tissues, although the numerical and functional adequacy of the cells involved in this response has not been evaluated. Indeed, alveolar macrophages are particularly responsive to GM-CSF, and many cell types present in the lung (including epithelial cells) are capable of GM-CSF synthesis (33,34). It is possible, therefore, that a significant component of the intrinsic pulmonary defect is the absence of local GM-CSF-dependent activation of macrophages involved in either surfactant clearance or infection control. We are testing the influence of environmental factors on the pulmonary manifestations of GM-CSF deficiency by comparing GM-CSF deficient mice raised in conventional and gnotobiotic animal facilities.

The prominent pulmonary pathology accompanying absolute GM-CSF deficiency suggests that GM-CSF may be useful for treatment of lung disorders characterised by accumulation of alveolar material such as alveolar proteinosis, or by infection. Acquired forms of alveolar proteinosis may reflect a local relative deficiency of GM-CSF. Amongst congenital forms of alveolar proteinosis, there may be a human counterpart to murine GM-CSF deficiency for which GM-CSF replacement therapy would be appropriate.

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There is considerable evidence that GM-CSF can be effective in treatment or prevention of bacterial and viral infections, and may also have some role in resistance to parasite infection (34-38).

5 **Example 10** G-CSF Targeting Vector And Isolation of
 Targeted ES Cell Clones

 The G-CSF targeting vector, illustrated in Figure 5, was designed to effect a disruption of the G-CSF gene (Tsuchiya, M., Kaziro, Y. and Nagata, S.; Eur. J. Biochem., 1987 165 7-12) comprising a deletion of sequences between the *NcoI* site in exon 1, which encompasses the translational initiation codon ATG, and the *BamHI* site in exon 3. Sequences comprising the *E. coli* lac-z gene with a modification at the 5' end to facilitate cloning into a *NcoI* site, and the neomycin phosphotransferase gene driven by the phosphoglycerate kinase (PGK) promoter, and with 3' untranslated sequences derived from the vector pMC1Neo were inserted at the site of this deletion.

 The construct, designated pKOGCSF3b, comprised a hybrid vector backbone derived from the vectors pBR322 and pSP73, from 5' to 3', approximately 1.1 kb of genomic sequence immediately 5' of the G-CSF protein coding sequence, including the G-CSF promoter (the Asp 700 - *NcoI* fragment), the *E. coli* lac-z and neomycin phosphotransferase sequences set out above, and approximately 7 kb of genomic sequence commencing at the *BamHI* site in exon 3 and limited at the 3' end by a *BamHI* site. This construct is illustrated in Figure 5b.

 In this figure the approximate sizes in kb of genomic sequences are indicated by the bars. The *NcoI* site labelled with the asterisk encompasses the translation initiation site in exon 1 of the murine G-CSF gene. The *BamHI* site labelled with a cross lies in exon 3 of this gene. β G represents a 700 bp fragment of the 3'

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untranslated region and polyA addition motif from the human β -globin gene. The sequences in the neomycin phosphotransferase expression cassette are derived from PGK-Neo (sequences 5' of the *Nco*I site) and pMC1Neo (sequences 3' of the *Nco*I site). The vector backbone is a hybrid of sequences derived from pBR322 and pSp73. The sequences from these two vectors are joined in the targeting construct at the *Xmn*I site in the *Amp*^R gene to recreate a functional *Amp*^R gene. The origin of replication is derived from pBR322. The 3' end of the homologous genomic sequence in the construct is defined by a *Bam*HI site in genomic sequences, although this site was ablated during construction of the targeting vector.

Transformation and selection of ES cells were carried out generally as described in Example 1. Polymerase chain reaction was used to screen DNA from each ES cell colony for intergration of the targeting vector by homologous recombination. The PCR primers were as described below.

Chimeric mice were generated and maintained as described in Example 2. Except where stated, the following observations were obtained using the 5.4 lineage.

Example 11 Verification Of G-CSF Gene Disruption

To confirm the structure the targeted allele in G-/- mice, Southern blotting analysis was carried out on genomic DNA from ES cell lines or mouse tail tissues, which was prepared by standard techniques and digested with *Xba*I. Results are shown in Figure 6. This figure confirms the predicted structure of the disrupted G-CSF allele, using probes corresponding to sequences both external to and internal to the construct. The probe corresponding to G-CSF genomic sequences lying outside those of the targeting construct pKOGCSF3b was a 5'-*Sac*I-*Bgl*III fragment. This probe was diagnostic for homologous integration at one G-CSF allele.

DNA from the ES cell line 5.4, which as described

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below was confirmed to contain a disrupted G-CSF gene, showed a 14 kb band corresponding to the wild-type allele, as well as a second larger band corresponding to the disrupted allele. The identity of this second band was confirmed by reprobing the Southern blot with a second probe corresponding to 3.2 kb of sequence from the *E. coli* lac-z gene, showing that only a band corresponding in size to that of the disrupted allele contained lac-z sequences. Thus incorporation of these sequences into genomic DNA at the disrupted G-CSF allele was confirmed, as well as the fact that only one copy of the targeting construct sequences was present in the genome of these ES cells.

Example 12 PCR Analysis

A PCR probe specific for the disrupted G-CSF allele was used to demonstrate that matings of heterozygous parents resulted in progeny which were homozygous for the disrupted G-CSF allele. These results are shown in Figure 7. G105 template DNA was shown to have the genotype G+/- by Southern analysis. The symbols -, + and ++ indicate primer concentrations of 0.20 ng/20 µL reaction mixture, and 40 ng/20 µL reaction mixture. The primer sequences are:

GL#2	5'-TCC.ATG.ACA.TCT.GTG.GCC.ACC.AGA-3'
ES#132	5'-CTG.CAA.GGC.GAT.TAA.GTT.GGG.TAA-3'
GL#4	5'-CGG.CCT.CTC.GTC.CTG.ACC.ATA.GTC-3'

The PCR reaction mixtures were as described in Example 2, except that the concentration of MgCl₂ was 2.0 mM and 20 ng of each primer was used, and 35 amplification cycles were performed, annealing at 62° for 50 seconds.

When maximal sensitivity was required, PCRs to identify wild-type and disrupted alleles were performed separately. When DNA from tail tissue was screened, PCRs to diagnose wild-type and disrupted allelic status were carried out in a single reaction mixture, which contained 40 mg of primer GL#2 and 20 ng of the other two primers.

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Primers GL#2 and GL#4 generate a 1.2 kb PCR product from template DNA containing a wild-type allele, while primers GL#2 and ES#132 generate a 1.1 kb PCR product from template DNA containing a disrupted GSF allele.

5 Results of PCR analysis on the first litter resulting from mating G-CSF +/- parents are shown in Figure 8. The PCR pattern demonstrates that progeny homozygous for the disrupted G-CSF allele were produced. We have thus demonstrated germline transmission of the disrupted allele.
10 We have also shown that animals bearing the disrupted allele are viable and fertile.

Example 13 **Cells From G-CSF Deficient Mice Are Unable To Produce G-CSF**

15 An assay specific for mouse G-CSF was devised and validated. This assay utilised BAF3 cells modified to express receptors for G-CSF. These cells are designated BAF3-GR. Control (untransformed) BAF3 cells, which respond to Multi-CSF and also respond weakly to IL-2, were used as controls. Conditioned medium from thymus, lung, bladder,
20 bone shaft and spleen were compared with a control preparation containing 1 mg/mL of purified G-CSF. These results are shown in Figure 11, and indicate that the BAF3-GR cells respond specifically to G-CSF, with a lower limit of sensitivity of 30 pg/mL. The results obtained
25 with BAF3 cells show that neither Multi-CSF nor IL-2 is present in the conditioned medium from these organs. Conditioned media from thymus, lung, bladder, bone shaft and spleen were prepared from organs of G-CSF deficient and wild-type animals, and assayed in the BAF3-GR test system.
30 All of the conditioned media from organs of wild-type mice contained titratable levels of bioactive G-CSF, whereas no bioactive G-CSF could be detected in conditioned media from organs of G-/- animals. The results are illustrated in Figure 12. In addition, assays of sera of G-/- and wild-
35 type mice were unable to detect G-CSF. With serum the lower limit of sensitivity is 120pg/ml.

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Example 14 **Haematological Analysis of G-CSF Deficient Mice**

Haemoglobin, total leukocyte and platelet estimates were performed on samples from G-CSF deficient mice, as described in Example 6. The results are summarised in Table 5, and show that the G-CSF deficient mice (-/-) have a durable base line neutropaenia compared to wild-type (+/+) or heterozygous (+/-) mice. However, in the G-CSF deficient mice other parameters were within the normal range.

Table 5
Haematological Parameters in G-CSF -/- Mice

Mice aged 3-4 weeks	Genotype (n)		
	G+/+ (31)	G+/- (30)	G-/- (18)
Haemoglobin g/L	142±12	140±13	140±15
Platelets x10 ⁹ /L	514±222	519±199	532±198
Total White Cells x10 ⁹ /L	6.79±1.66	6.25±2.09	5.48±2.16
Neutrophils x10 ⁹ /L	0.63±0.29	0.42±0.27	0.17±0.12
Lymphocytes x10 ⁹ /L	5.59±1.84	5.45±2.05	5.04±2.07
Monocytes x10 ⁹ /L	0.27±0.19	0.22±0.15	0.23±0.13
Eosinophils	0.14±0.12	0.13±0.13	0.10±0.10
Mice aged 12-16 weeks			
	G+/+ (5)	G+/- (0)	G-/- (7)
Haemoglobin g/L	169±9	ND	169±18
Platelets x10 ⁹ /L	798±134		802±125
Total White Cells x10 ⁹ /L	10.0±2.5		7.66±3.0
Neutrophils	0.57±0.19		0.20±0.12
Lymphocytes	9.10±2.37		7.24±2.94
Monocytes	0.28±0.19		0.17±0.05
Eosinophils	0.07±0.08		0.03±0.04
n = number of mice			
Results are expressed as mean ± s.d.			

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Example 16 G-CSF Mice Constitute A Model Of Chronic
 Neutropaenia

5 The durability of neutropaenia in the G-CSF
 deficient mice is evident from the data presented in
 Example 13. A model of chronic neutropaenia is useful to
 test new therapies applicable to diseases characterised by
 chronic neutropaenia, and to test the role of neutrophils
 in experimental models infection, inflammation or
10 malignancy, in a situation of relative neutrophil
 deficiency.

 For example, a 154 day-old female G-/- mouse was
 killed because it appeared ill, and was found to have a
 severe endometritis accompanied by enlarged para-aortic
 lymph nodes, and enlargement of spleen, lungs, heart and
15 liver. Histological examination confirmed pelvic
 inflammation and endometritis, as well as presence of large
 numbers of intensively eosinophilic, multinucleated
 macrophages in the alveoli of the lungs. This mouse had a
 severely abnormal blood profile (haemoglobin 108 g/L, total
20 leukocyte count $29 \times 10^9/L$, and platelet count $418 \times$
 $10^9/L$), and 70% of the circulating leukocytes were
 monocytes. Endometritis is an unusual infection in mice,
 and we have not seen it in any other immunocompromised
 animal in the last twelve months. The infection was
25 probably introduced during mating, and the deficiency of
 neutrophils and G-CSF probably contributed significantly to
 the vulnerability of the animal to this infection.

 The profound, excessive monocytosis seen in this
 animal during bacterial infection is very abnormal, and it
30 appears that inability to make G-CSF had impaired its
 capacity to mount an appropriate granulocytic response to
 infection. This monocytosis may indicate an attempt to
 compensate for the lack of neutrophils by generating
 monocytes and macrophages via the remaining intact
35 mechanisms of haemopoiesis.

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Example 17 G-CSF Deficient Mice Are Vulnerable To
Bacterial Infection

5 G-CSF deficient and wild-type mice were
challenged with *Listeria monocytogenes*, administered by
intravenous injection at a dose of 5×10^3 colony forming
units per mouse, and haematological and bacterial load end
points were examined at 1 hour, 1 day and 5 days after
injection. The results are summarised in Tables 6 and 7.

Table 6

Haematological Parameters in Mice Injected with *Listeria*

Haematological Parameters	Baseline		1hr after <i>Listeria</i>	
	+/+	G-/-	+/+	G-/-
	(13)	(13)	(13)	(13)
Haemoglobin g/L	171 \pm 8	159 \pm 8	163 \pm 9	156 \pm 6
Platelets $\times 10^9$ /L	843 \pm 122	759 \pm 118	787 \pm 149	773 \pm 126
Total White Cells $\times 10^9$ /L	9.3 \pm 1.7	6.0 \pm 1.6	6.2 \pm 1.5	3.2 \pm 1.0
Neutrophils	1.05 \pm 0.39	0.18 \pm 0.10	2.85 \pm 1.39	0.30 \pm 0.17
Lymphocytes	7.56 \pm 2.12	5.62 \pm 1.41	3.18 \pm 0.38	2.76 \pm 0.99
Monocytes	0.15 \pm 0.09	0.14 \pm 0.13	0.07 \pm 0.08	0.09 \pm 0.08
Eosinophils	0.04 \pm 0.10	0.04 \pm 0.06	0.06 \pm 0.06	0.04 \pm 0.05

Table 6 (cont.)

Haematological parameter	1 Day After <i>Listeria</i> Injection		5 Days After <i>Listeria</i>	
	+/+	G-/-	+/+	G-/-
	(13)	(13)	(8)	(8)
Haemoglobin g/L	157 \pm 8	155 \pm 4	140 \pm 11	134 \pm 8
Platelets $\times 10^9$ /L	729 \pm 134	772 \pm 143	960 \pm 251	663 \pm 464
Total White Cells $\times 10^9$ /L	10.83 \pm 2.97	5.20 \pm 1.60	10.35 \pm 1.41	3.84 \pm 2.05
Neutrophils	4.28 \pm 2.31	0.77 \pm 0.43	2.70 \pm 1.26	0.33 \pm 0.27
Lymphocytes	5.72 \pm 1.80	4.16 \pm 1.67	6.88 \pm 2.10	3.07 \pm 2.22
Monocytes	0.65 \pm 0.32	0.23 \pm 0.12	0.66 \pm 0.30	0.45 \pm 0.39
Eosinophils	0.17 \pm 0.13	0.08 \pm 0.06	0.05 \pm 0.08	0 \pm 0
FACS Phenotype (m)	(8)	(8)	(7)	(7)
Mac ⁺ Gr1 ⁺ %	53	21	42	17
Mac ⁺ F4/80 ⁺ %	ND	ND	12	19
Mac ⁺ Gr1 ^{low} %	ND	ND	13	19
Mac ⁺ Gr ⁻ %	7	9	ND	ND

Figures in brackets = number of mice

FACS = fluorescence activated cell sorter

Table 7
Response of G-/- Mice to *Listeria* Infection

Wild-type (mouse no.)	Bacteria Load			
	Spleen		Liver	
	Bacteria/g	Bacteria/spleen	Bacteria/g	Bacteria/liver
17	4.8×10^4	1.1×10^4	9.3×10^4	1.6×10^5
18	1.1×10^5	3.3×10^4	2.9×10^4	4.3×10^5
19	5.8×10^5	2.6×10^5	3.9×10^4	6.9×10^4
20	8.8×10^4	3.7×10^4	3.5×10^4	6.4×10^4
24	5.6×10^4	2.0×10^4	4.8×10^3	6.1×10^3
25	2.7×10^5	8.2×10^4	5.2×10^4	5.4×10^4
26	1.9×10^5	8.0×10^4	1.0×10^5	1.5×10^5
23	1.1×10^7	2.4×10^6	8.3×10^4	1.0×10^5

G-/-				
(mouse no.)	Bacteria/g	Bacteria/spleen	Bacteria/g	Bacteria/liver
30	3.4×10^5	1.1×10^5	1.4×10^4	2.3×10^4
33	1.7×10^5	5.4×10^4	8.1×10^4	1.6×10^5
37	2.0×10^9	3.0×10^8	2.6×10^8	2.8×10^8
38	4.1×10^8	5.4×10^7	1.3×10^7	1.4×10^7
39	8.1×10^6	2.4×10^6	3.5×10^5	4.8×10^5
36	$>2.4 \times 10^9$	$>3.0 \times 10^8$	$>5.7 \times 10^8$	$>6.0 \times 10^8$
32	$>2.4 \times 10^9$	$>2.6 \times 10^8$	$>6.0 \times 10^8$	$>6.0 \times 10^8$

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In Table 6 the bacterial counts (raw data) are shown for individual mice, analysed five days after injection of *Listeria*.

5 G-CSF deficient mice do not have a readily mobilisable pool of neutrophils, as illustrated by the fact that their neutrophil counts are low 1 hour after *Listeria* infection compared to wild-type mice. Although their neutrophil levels do increase in absolute terms during the course of *Listeria* infection, this haematological response is markedly impaired compared to that of the wild-type controls, never attaining even the baseline level of the control group. This impaired haematological response correlates with the generally higher bacterial loads observed in the liver and spleen of these mice 5 days after infection.

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Example 16 Production of Mice Deficient In Both GM-CSF and CSF-1

GM-CSF deficient mice (genotype GM-/-) and appropriately outbred GM+/+ controls were generated as described above. Initial GM-/- M-/- were generated by interbreeding GM+/- M+/+ and GM+/+ M+/- mice (i.e. op/+) and selecting the GM+/- M+/- progeny for interbreeding. These matings generated the various genotypes in appropriate ratios, including GM-/- M-/- and GM+/+ M-/- (i.e. op/op). To generate additional GM-/- M-/- animals, GM-/-M+/- mice were mated.

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Example 17 Viability and Fertility of GM-/- M-/- Mice

From initial matings of GM+/- M+/- x GM+/- M+/- mice, litters of 7±2 pups (n=15) were born. The nine possible genotypes were represented in approximately the expected Mendelian ratios at weaning amongst 144 pups, as summarized in Table 8.

30

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Table 8

Ratio of Genotypes in Litters From Matings of Mice
Heterozygous for Both M-CSF and GM-CSF Null Mutations

5	Genotype		Expected ratio		Observed	
	GM	M	of 16	of 144	ⁿ total	ⁿ male(%)
	+/+	+/+	1	9	10	5 (50)
	+/+	+/-	2	18	16	6 (38)
	+/+	-/-	1	9	10	6 (60)
	+/-	+/+	2	18	19	11 (58)
10	+/-	+/-	4	36	28	16 (57)
	+/-	-/-	2	18	15	9 (60)
	-/-	+/+	1	9	17	11 (65)
	-/-	+/-	2	18	21	6 (29)
	-/-	-/-	1	9	8	6 (75)

15 Results from 144 genotyped progeny of 4 double-heterozygous breeding pairs. During this period, the following mice were not genotyped: one litter of 9 pups that died at 3 days, 2 GM⁺M⁻/- males, 2 GM⁻/-M⁺ males, one GM⁺M⁺ female.

20 In particular, GM⁻/- M⁻/- mice were not significantly under-represented relative to wild-type mice, indicating that GM⁻/- M⁻/- mice were viable and there was no major fetal or neonatal loss, although amongst this genotype, male mice were over-represented. Subsequently,

25 GM⁻/- M⁺/- x GM⁻/- M⁺/- matings generated litters of which 25/164 (15%) of pups were GM⁻/- M⁻/-; this was significantly fewer than expected ($p < 0.05$), and there was again a male preponderance (19/25) ($p < 0.1$). GM⁻/- M⁻/- males were fertile; one such male mated with a GM⁻/- M⁺/-

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female has sired 5 litters of 5+3 pups. We have not bred from M-/- females regardless of their GM-CSF status, in view of the difficulties experienced by others.

The Chi-squared test was used to test for differences amongst viability and fertility data. An unpaired t-test was used to test for statistically significant differences in hematological data. Kaplan-Meier survival curves were constructed to compare survival data, and median survivals were tested for difference using the Mantel-Cox statistic. For survival data, mice killed because of apparent illness were regarded as non-survivors. A p-value of ≤ 0.05 was regarded as statistically significant.

Survival of GM-/- M-/- was compared to that of all other toothless mice (GM+/- M-/- and GM+/+ M-/-), and mice deficient only in GM-CSF (GM-/- M+/+) and wild-type (GM+/+ M+/+) mice. All toothless (M-/-) mice had significantly reduced survival compared with wild-type and GM-CSF deficient littermates, regardless of whether they were only M-CSF deficient, or both GM- and M-CSF deficient (Figure 2). Median survivals were: GM+/+M+/+ and GM-/- M+/+, not attained with median follow-up for 7 months; M-/- GM+/+ or +/-, 231 days (n=27); GM-/- M-/-, 71 days (n=38). The median ages at death for these two groups of M-/- mice were 33 and 41 days respectively. The median survival of M-/- GM+/+ or +/- mice was significantly shorter than that of GM+/+ M+/+ mice (p=0.03), and that of GM-/- M-/- mice was significantly shorter than that of other M-/- mice (p=0.01). Animal husbandary difficulties contributed to the death of most M-/- mice, but at histological examination 19/19 GM-/- M-/- mice that died or were killed at ages from 24 days to 324 days because of apparent illness had severe lung disease and acute lobar pneumonia at the time of death; some of the mice killed at ages of 24 to 324 days because of apparent distress were tachypnoeic.

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Figure 18 Phenotypic Features of GM-/- M-/- Mice

GM-/- M-/- mice showed the typical gross phenotypic features of *op/op* mice ie. osteopetrosis, failure of tooth eruption, and domed head shape. In addition, they all had diseased lungs with many of the features typical of the lung disease observed in GM-/- mice. The GM-/- M-/- mice have age-for-age a more severe and more persistent form of the pathology seen in GM-/- M+/+ mice.

Example 19 PCR diagnosis of GM-CSF and M-CSF genotype

The allelic status of mice at the GM-CSF locus was determined by PCR as described above. GM-CSF genotypes are designated as follows: wild-type, GM+/+, heterozygous [wild-type/disrupted] GM+/-; and homozygous for the disrupted allele, GM-/-.

Whilst *op/op* (M-CSF genotype designated M-/-, in parallel to GM-CSF genotypes given above) are readily recognized in litters from M+/- x M+/- matings by their "toothless" phenotype, heterozygous (M+/-) and wild-type (M+/+) littermates are not distinguishable by phenotypic features, and in experiments with *op/op* (M-/-) it has been typical to use a random selection of M+/- and M+/+ toothed littermates as controls without precise definition of their genotypic status (40,41). In order to eliminate this imprecision and the heterogeneity that a possible gene-dosage effect might introduce, particularly in the face of GM-CSF deficiency, a PCR-based strategy for defining allelic status at the M-CSF locus was devised. By comparison of the murine cDNA sequence (42,43) with the published incomplete genomic structure of the human M-CSF gene (44), it was predicted that the *op* point mutation (45) lay in an exon homologous to human exon 3. Primers complementary to murine cDNA sequences were used to amplify a fragment from murine genomic DNA corresponding to the intron 5' of this exon, and 300 bp of the 3' end of this 1.6 kb PCR-generated fragment sequenced. The

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diagnostic PCR paired a 5' sense primer from this intronic sequence (5'-TGTGTCCCTTCCTCAGATTACA-3') with a 3' antisense primer (5'-GGTCTCATCTATTATGTCTTGTACCAGCCAAAA-3') to generate a PCR product of 195 bp or 196 bp dependent on whether or not the thymidine base insertion of the *op* mutation was present in template DNA. Consequent to a 2 bp mis-match in the 3'-antisense primer (underlined), this PCR product contained a second *Bgl*I site dependent on whether template contained the *op* thymidine insertion. The PCR product was digested with *Bgl*I which cut at the intronic *Bgl*I site generating a 96 bp product (confirming restriction enzyme activity in each PCR reaction), and also, in the case of product generated from *op* template, cut the resulting 100 bp *op*-template-dependent fragment into diagnostic 70 and 30 bp fragments, but not the 99 bp wild-type-dependent fragment. Heterozygosity (*op*/+, *M*/-) could be distinguished from homozygosity (*op/op*, *M*/-) on the basis of phenotype, but also on the basis of whether the fragment of 100 bp had been completely digested by *Bgl*I, or whether uncut 99 bp fragment remained.

Ethidium bromide stained agarose gel of PCR products generated from wild-type, *op/op* and *op*/+ genomic DNA, demonstrated the diagnostic 70 bp and 30 bp fragments in *Bgl*I digests of PCR products from *op*-containing template DNA. The latter fragment was visible only in the case of the *op/op* template.

Example 20

Haematological Analysis of *GM*/- *M*/- Mice

Haemoglobin and platelet levels in mice of the four genotypes mice did not differ significantly at 4-5 weeks of age, but by 18-24 weeks of age, *GM*/-*M*/- mice had significantly elevated hemoglobin levels. These results are summarized in Table 8. Markedly increased hemoglobin levels were found in some older *GM*/- *M*/- mice: e.g. one animal that was killed because of apparent distress had a hemoglobin level of 220 g/l.

Table 9
Peripheral Blood of 18-24 wk GM-CSF and M-CSF Deficient Mice

Peripheral blood parameter									
Genotype		Hemoglobin	Platelets	Leukocytes	Neutrophils	Lymphocytes	Monocytes	Eosinophils	
GM	M	n	g/l	$\times 10^9/l$	$\times 10^9/l$	$\times 10^6/l$	$\times 10^9/l$	$\times 10^6/l$	
+/+	+/+	4	174 \pm 4	9.3 \pm 2.3	1.6 \pm 0.6	7.1 \pm 1.6	0.21 \pm 0.09	0.35 \pm 0.26	
-/-	+/+	5	174 \pm 5	8.1 \pm 2.3	1.3 \pm 0.5	6.5 \pm 1.7	0.22 \pm 0.11	0.12 \pm 0.12	
+/+	-/-	3	172 \pm 4	6.7 \pm 1.4	1.2 \pm 0.2	5.2 \pm 1.6	0.15 \pm 0.06	0.08 \pm 0.10	
-/-	-/-	7	185 \pm 10*# \otimes	6.6 \pm 1.1*	2.1 \pm 0.9# \otimes	4.3 \pm 0.9**	0.05 \pm 0.06**	0.07 \pm 0.08*	

n = number of mice

* = p<0.05 compared to GM+/+ M+/+

= p<0.05 compared to GM-/- M+/+

\otimes = p<0.05 compared to GM+/+ M-/-

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GM-/- M-/- mice of 18-24 weeks of age had significantly reduced numbers of total leukocytes due primarily to a relative lymphopenia, as has been previously reported for M-/- mice (Table 2 and 2). Monocytes were present in the peripheral blood of GM-/- M-/- mice, but in mice of this age levels were significantly lower than in wild-type and GM-/- M+/+ mice and than those in GM+/+ M-/- mice (Table 2). GM-/- M-/- had significantly more circulating neutrophils than mice deficient in either factor alone, although not significantly more than their age-matched factor-replete controls. This relative neutrophilia persisted throughout life: GM-/- M-/- mice of 4-5 weeks of age had granulocyte levels of $3.0 \pm 2.4 \times 10^9/L$ (n=4) compared to $1.3 \pm 0.7 \times 10^9/L$ for age-matched GM+/+ M+/+ (n=33) and 3 older mice of age 26, 31 and 47 wks had granulocyte levels of 1.74, 4.37 and $7.7 \times 10^9/L$ respectively. Despite the relative monocytopenia, the diseased lungs of GM-/- M-/- mice contained large numbers of foamy alveolar macrophages, indicating that at least qualitatively monocytes were available for host defence in tissues.

Mice at 4-5 weeks, 18-24 and 28 weeks, were also compared at the results are summarized in Table 9. These results are consistent with those in Table 8.

Table 10
Peripheral Blood of GM-CSF and M-CSF Deficient Mice

Peripheral blood parameter										
Age	Genotype			Hemoglobin	Platelets	Leukocytes	Neutrophils	Lymphocytes	Monocytes	Eosinophils
wks	GM	M	n	g/l	x10 ⁹ /l	x10 ⁹ /l	x10 ⁹ /l	x10 ⁹ /l	x10 ⁹ /l	x10 ⁹ /l
4-5	+/+	+/+	16	134±18	771±86	6.9±2.8	1.3±0.7V	5.6±2.4	0.03±0.04	ND
	-/-	+/+	33	142±20	724±216	6.8±2.6	1.7±1.5V	5.1±2.0	0.07±0.16	ND
	+/+	-/-	nil						0.17±0.09	
	-/-	-/-	4	147±5	747±131	5.8±2.7	3.0±2.4V	2.7±2.4	0.17±0.09	ND
18-24	+/+	+/+	4	174±4	741±138	9.3±2.3	1.6±0.6	7.1±1.6	0.21±0.09	0.35±0.26
	-/-	+/+	5	174±5	929±183	8.1±2.3	1.3±0.5	6.5±1.7	0.22±0.11	0.12±0.12
	+/+	-/-	3	172±4	823±65	6.7±1.4	1.2±0.2	5.2±1.6	0.15±0.06	0.08±0.10
	-/-	-/-	7	185±10*#⊗	604±70#⊗	6.6±1.1*	2.1±0.9#⊗	4.3±0.9*#	0.05±0.06*#	0.07±0.08*
28	-/-	-/-	2	175	638	5.3	3.06V	2.2	0.06	

n = number of mice

* = p<0.05 compared to GM+/+ M+/+

= p<0.05 compared to GM-/- M+/+

 \otimes = p<0.05 compared to GM+/+ M-/- ∇ = total granulocyte count (ie. neutrophils and eosinophils)

ND = not determined

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The mice of genotype GM-/-, M-/- show features typical of both the CSF-1-/- genotype, such as osteopetrosis and failure of tooth eruption, and of the GM-CSF-/- genotype, such as propensity to infection, especially pneumonia caused by Gram-negative organisms. These pneumonias are usually fatal. For example, in one group of four GM-/-, M-/- animals, one was found dead at the age of 8 weeks, and pneumonia caused by *Klebsiella oxytoca* was confirmed bacteriologically. Three animals were killed after being found seriously ill; one, killed at six weeks had *Klebsiella oxytoca* pneumonia, one, killed at six weeks had a facial abscess caused by a *Streptococcus* species, and one, killed at twenty weeks had pneumonia caused by *Pasteurella pneumotropica*.

These doubly deficient mice have lung pathology presenting the same features as seen in GM-CSF deficient mice. Despite the deficiency of CSF-1, however, these mice still have monocytes/macrophages at normal or near-normal levels. Thus notwithstanding the double-deficiency these mice are able to maintain steady-state granulopoiesis and monopoiesis.

References cited herein are listed on the following pages, and are incorporated herein by this reference.

The following words used herein are trade marks: Araldite, Epon, Genescreen Plus, Geneticin, Sysmex.

It will be apparent to the person skilled in the art that while the invention has been described in some detail for the purposes of clarity and understanding, various modifications and alterations to the embodiments and methods described herein may be made without departing from the scope of the inventive concept disclosed in this specification.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A non-human animal carrying a disruption of a gene encoding a colony stimulating factor.
2. A non-human animal according to Claim 1 carrying a disruption of the gene encoding granulocyte-macrophage colony-stimulating factor (GM-CSF), such that expression of GM-CSF is disrupted.
3. A non-human animal according to Claim 2, in which said disruption results in inability of spleen cells from the non-human animal to produce detectable levels of bioactive GM-CSF.
4. A non-human animal according to Claim 2 or Claim 3, which is a mouse.
5. A non-human animal according to any one of Claims 2 to 4, in which the gene encoding GM-CSF is completely inactivated.
6. A non-human animal according to any one of Claims 2 to 5, which carries a mutation comprising deletion of exons 1 and 2 and intron 1 between *ScaI* and *SmaI* restriction sites of the gene encoding GM-CSF.
7. A non-human animal according to any one of Claims 2 to 6, which carries one or more additional mutations which result in disruption of a specific gene.
8. A non-human animal according to Claim 7, wherein said additional mutation causes disruption of a gene encoding a growth factor selected from the group consisting of granulocyte colony-stimulating-factor (G-CSF), colony-stimulating-factor-1 (CSF-1); leukaemia inhibitory factor (LIF), and transforming growth factor- β 1 (TGF- β 1), or of a cytokine.
9. A non-human animal according to Claim 8, wherein the cytokine is an interleukin.
10. A non-human animal according to Claim 9, wherein the interleukin is selected from the group consisting of interleukin-2, interleukin-3 and interleukin-6.
11. A non-human animal according to Claim 10, which is a mouse, in which the genes for GM-CSF and CSF-1 are

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disrupted, and in which said mouse is produced by crossing a mouse according to any one of Claims 2 to 6 with an *op/op* mouse.

12. A non-human animal according to Claim 1 carrying a disruption of the gene encoding granulocyte colony-stimulating factor (G-CSF), such that expression of G-CSF is disrupted.

13. A non-human animal according to Claim 12, in which said disruption results in inability of lung cells from the animal to produce detectable levels of bioactive G-CSF.

14. A non-human animal according to Claim 12 or Claim 13, which is a mouse.

15. A non-human animal according to any one of Claims 12 to Claim 14, in which the gene encoding G-CSF is completely inactivated.

16. A non-human animal according to any one of Claims 12 to 15, which carries a mutation comprising a deletion from the *NcoI* restriction site in exon 1 which encompasses the translation initiation codon ATG to the *BamHI* restriction site in exon 3 of the gene encoding G-CSF.

17. A non-human animal according to any one of Claims 12 to 16, which carries one or more additional mutations which result in disruption of a specific gene.

18. A non-human animal according to Claim 17, wherein said additional mutation causes disruption of a gene encoding a growth factor selected from the group consisting of granulocyte-macrophage colony stimulating-factor (GM-CSF), colony-stimulating-factor-1 (CSF-1); leukaemia inhibitory factor (LIF), and transforming growth factor- β 1 (TGF- β 1), or of a cytokine.

19. A non-human animal according to Claim 18, wherein the cytokine is an interleukin.

20. A method of testing the efficacy of a putative method of treatment of alveolar proteinosis, comprising the step of subjecting a non-human animal according to any one of Claims 2 to 11 to said putative treatment.

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21. A method according to Claim 20, wherein said putative treatment is a chemotherapeutic agent.
22. A method according to Claim 20 or Claim 21, in which the putative treatment is selected from the group consisting of granulocyte-macrophage colony-stimulating factor (GM-CSF) granulocyte-colony stimulating factor (G-CSF), colony-stimulating-factor-1 (CSF-1); leukaemia inhibitory factor (LIF), and transforming growth factor- β 1 (TGF- β 1), or a cytokine.
23. A method according to Claim 21, wherein the chemotherapeutic agent is GM-CSF.
24. A method of testing the efficacy of a putative treatment for infectious disease, comprising the step of subjecting a non-human animal according to any one of Claims 1 to 19 to said putative treatment.
25. A method according to Claim 24, wherein said infectious disease is a bacterial, fungal or viral infection of the lung.
26. A method according to Claim 24 or Claim 25, in which said bacterial infection is an opportunistic infection of the type to which immunocompromised or immunodeficient individuals are prone, or an infection which is intractable to currently-available therapies.
27. A method according to Claim 26 wherein the opportunistic infection is one to which patients suffering from acquired immunodeficiency syndrome (AIDS) are susceptible.
28. A method of treatment of alveolar proteinosis and/or of pulmonary infection, comprising the step of administering to a subject in need of such treatment an effective amount of GM-CSF.
29. A method according to Claim 28, wherein GM-CSF is administered locally to the lung.
30. A method according to Claim 28 or Claim 29, in which said GM-CSF is administered as an aerosol, via a nebuliser or via an endotracheal tube.
31. A method according to any one of Claims 28 to 30,

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wherein the GM-CSF is administered as adjunctive therapy to other treatments.

32. A method according to any one of Claims 29 to 31, wherein the dose of GM-CSF is from 0.1 $\mu\text{g/kg}$ body weight to 20 $\mu\text{g/kg}$ body weight.

33. A method of treatment of pulmonary infection, comprising the step of administering to a subject in need of such treatment an effective amount of G-CSF.

34. A method according to Claim 33, wherein G-CSF is administered locally to the lungs.

35. A method according to Claim 33 or Claim 34, in which said G-CSF is administered as an aerosol, via a nebuliser or via an endotracheal tube.

36. A method according to any one of Claims 33 to 35, wherein the G-CSF is administered as adjunctive therapy to other treatments.

37. A method according to any one of Claims 33 to 35, wherein the dose of G-CSF is from 0.1 $\mu\text{g/kg}$ body weight to 20 $\mu\text{g/kg}$ body weight.

38. A factor which is involved in regulation of steady-state haematopoiesis, and which is present in animals in which expression of GM-CSF is disrupted.

39. A factor according to Claim 38, which is present in animals whose spleen cells are incapable of producing detectable levels of bioactive GM-CSF.

40. A factor according to Claim 38 or Claim 39, which is also present in animals in which expression of both GM-CSF and G-CSF is disrupted.

41. A factor according to Claim 38 or Claim 39, which is also present in animals in which expression of both GM-CSF and CSF-1 is disrupted.

42. A method of treatment of a patient suffering from alveolar proteinosis, comprising the step of administering the gene encoding GM-CSF to said patient via somatic gene therapy.

43. A method according to Claim 42, in which the somatic gene therapy comprises the step of intravenous

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administration of a liposomal formulation of cDNA encoding GM-CSF.

44. A method of diagnosis of a colony-stimulating factor deficiency, comprising the step of testing a tissue or cell sample from a subject suspected of suffering from such a deficiency for the absence of the gene encoding said factor.

45. A method of diagnosis of GM-CSF deficiency or of G-CSF deficiency, comprising the step of testing cells or tissue from a subject suspected of suffering such deficiency for the presence of a gene encoding GM-CSF or G-CSF respectively.

46. A method according to Claim 44 or Claim 45, wherein the test is carried out using peripheral blood cells.

47. A method according to Claim 44 or Claim 45, wherein the test is carrying out using tissue obtained by lung biopsy.

48. A targeting vector for disruption of a gene encoding GM-CSF, as described herein with reference to Figure 1.

49. A targeting vector for disruption of a gene encoding G-CSF, as described herein with reference to Figure 5.

50. A cell line derived from a non-human animal according to any one of Claims 1 to 19.

51. A cell line according to Claim 50, which is a bone-marrow stromal cell line.

52. A composition suitable for local administration to the lung, comprising GM-CSF and/or G-CSF and a pharmaceutically-acceptable carrier.

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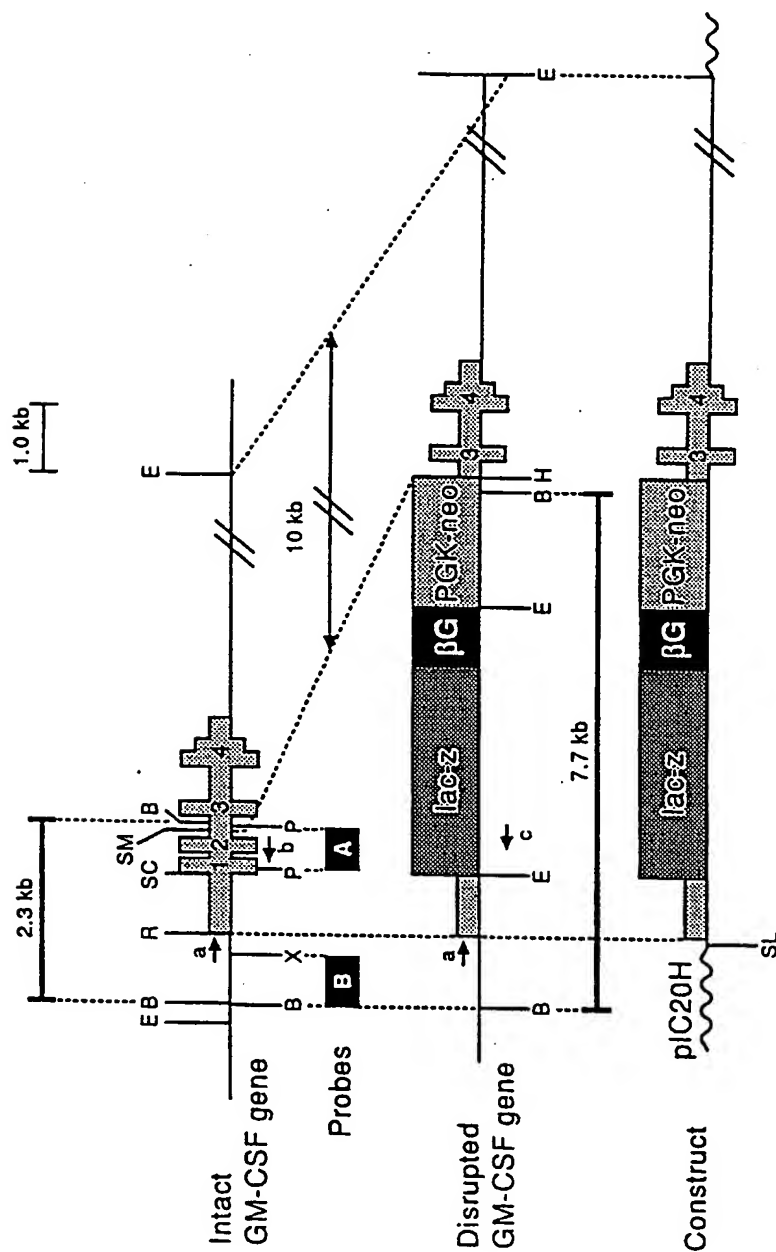


FIGURE 1

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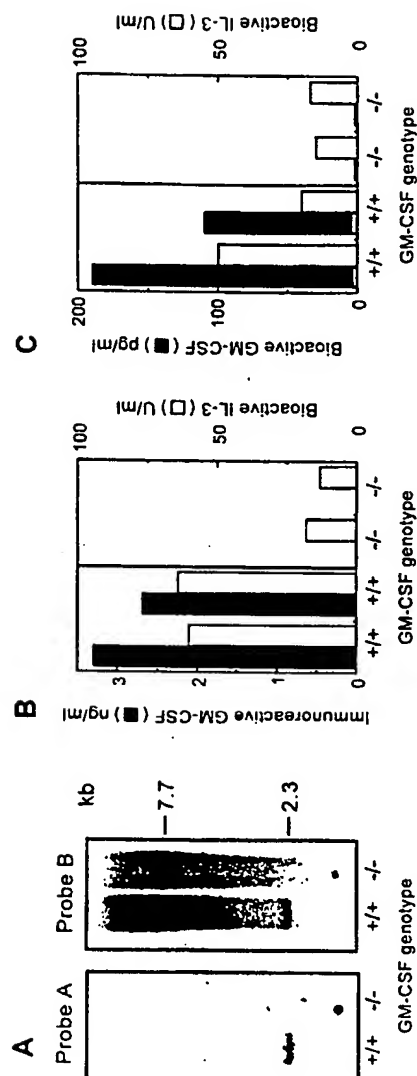


FIGURE 2

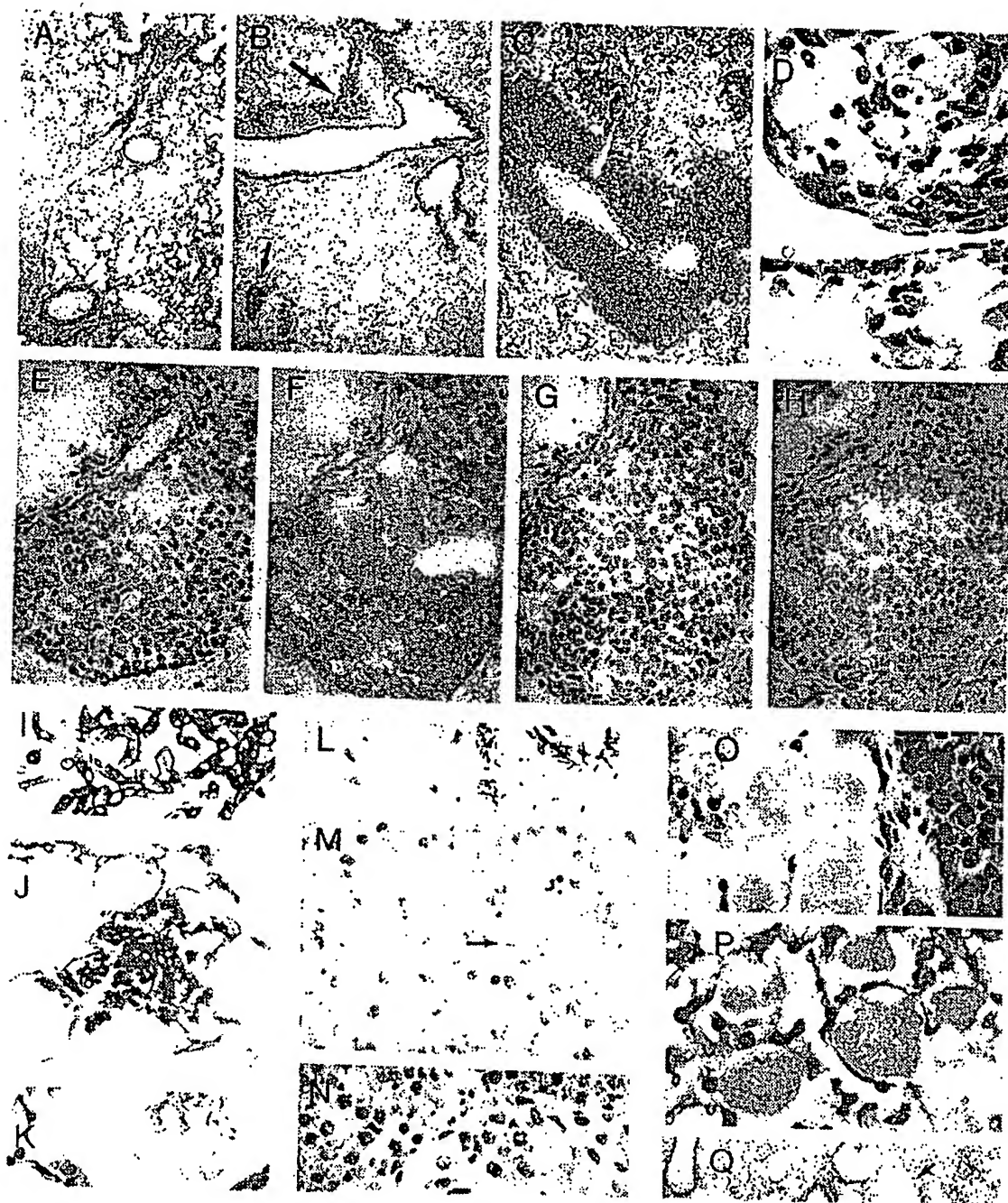


FIGURE 3

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FIGURE 4

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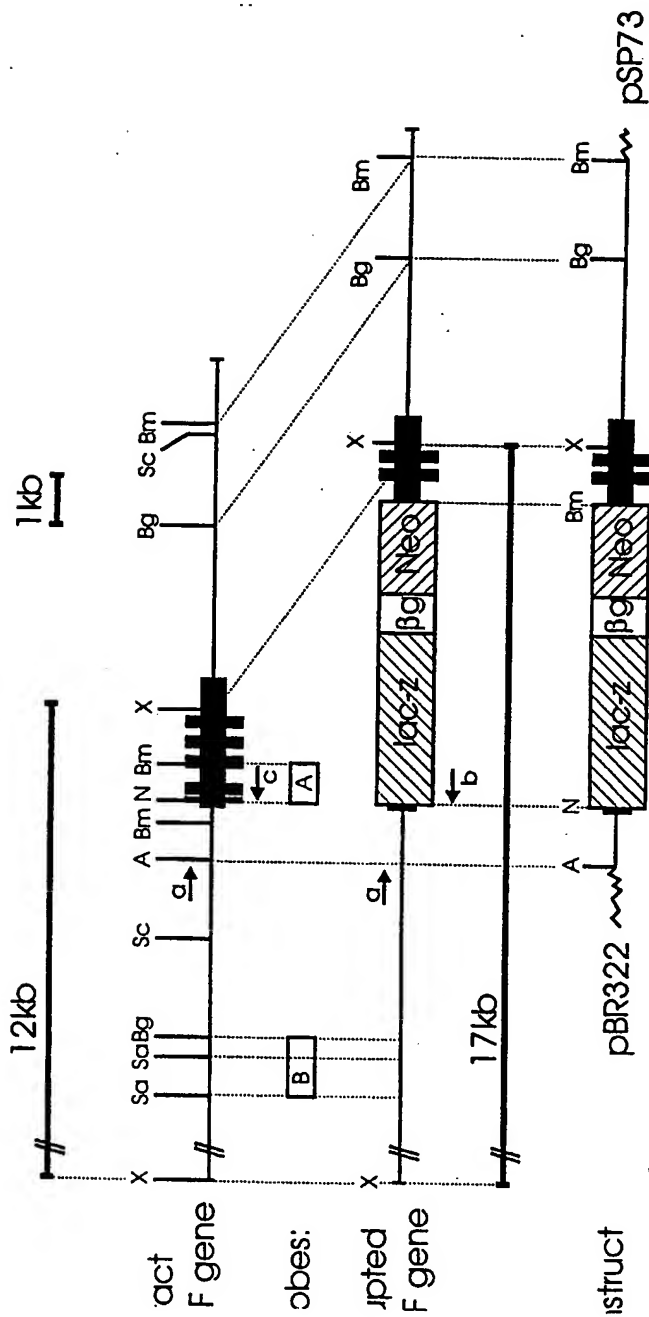


FIGURE 5a

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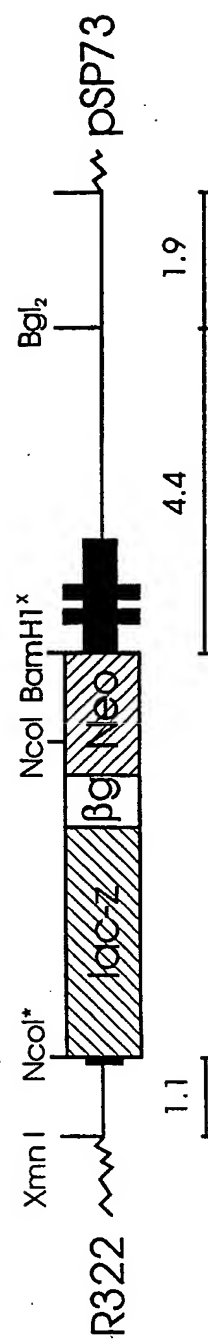


FIGURE 5b

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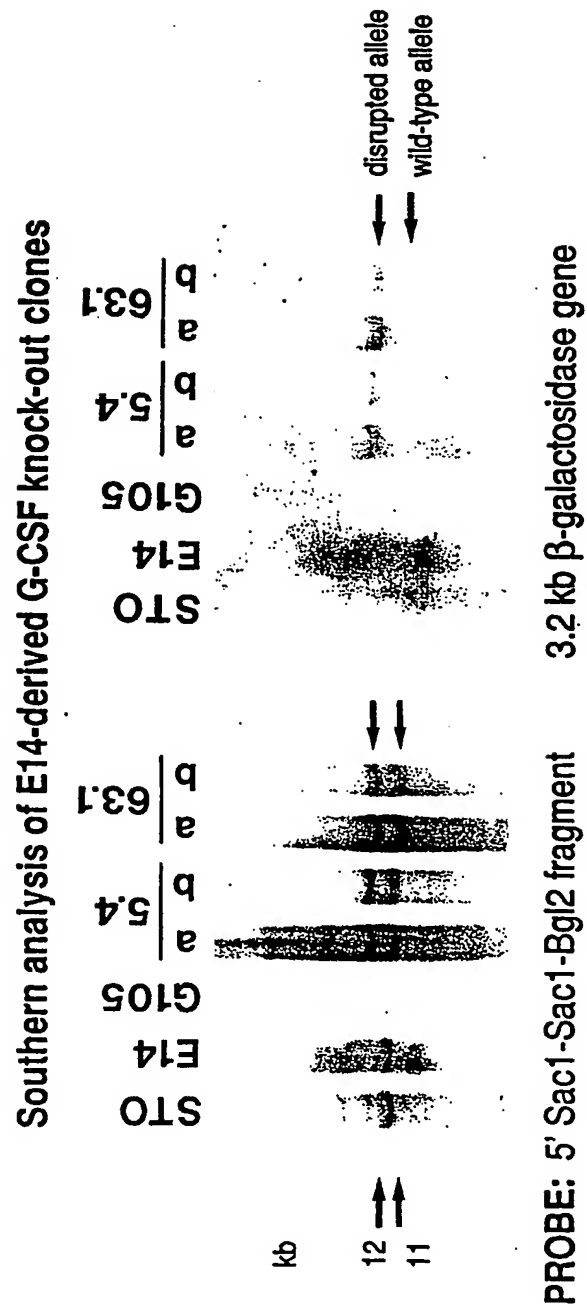


FIGURE 6

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PCR-BASED SCREENING STRATEGY FOR DISRUPTED G-CSF ALLELE

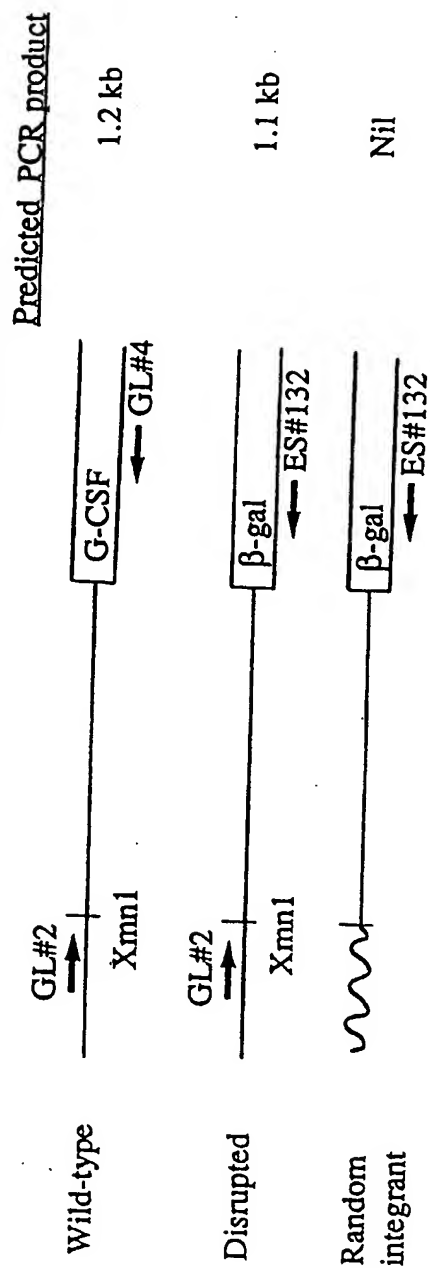


FIGURE 7a

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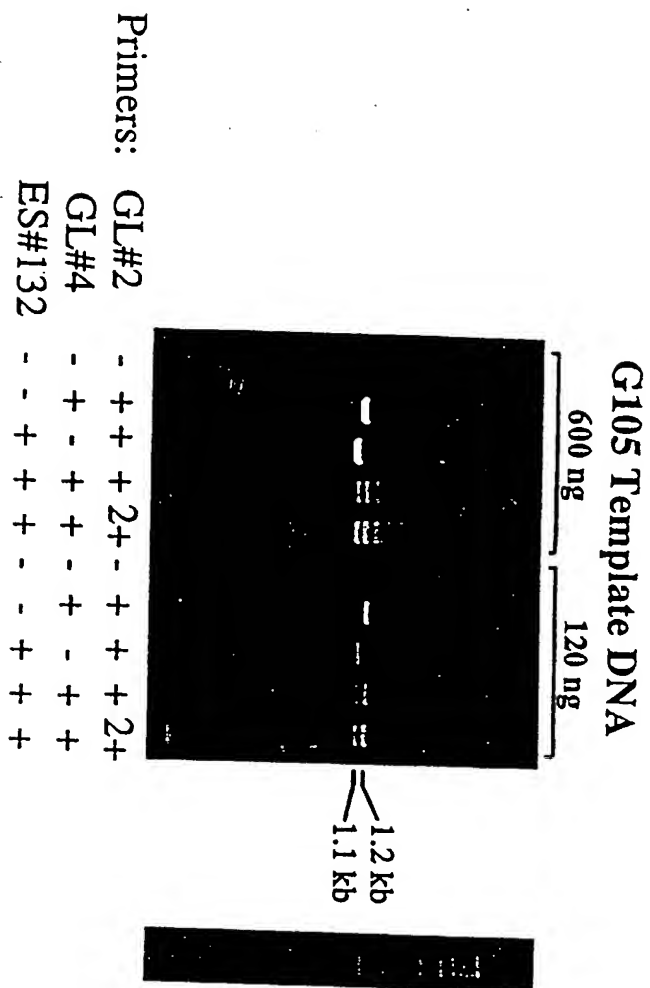


FIGURE 7b

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FIRST LITTER FROM G-CSF chimera ($\approx +/-$) x $+/-$ MATING

PUPS: 1 2



FIGURE 9

PCR:
Lanes 1 2 4 6

PUPS

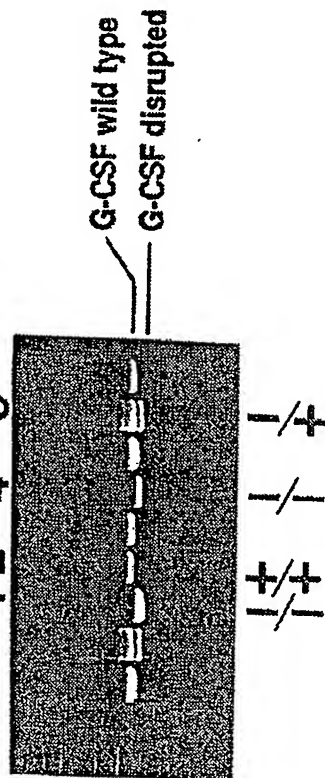


FIGURE 8

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GERMLINE TRANSMISSION OF G-CSF KNOCK-OUT MUTATION

PCR analysis of tail DNA from chimeras and their progeny

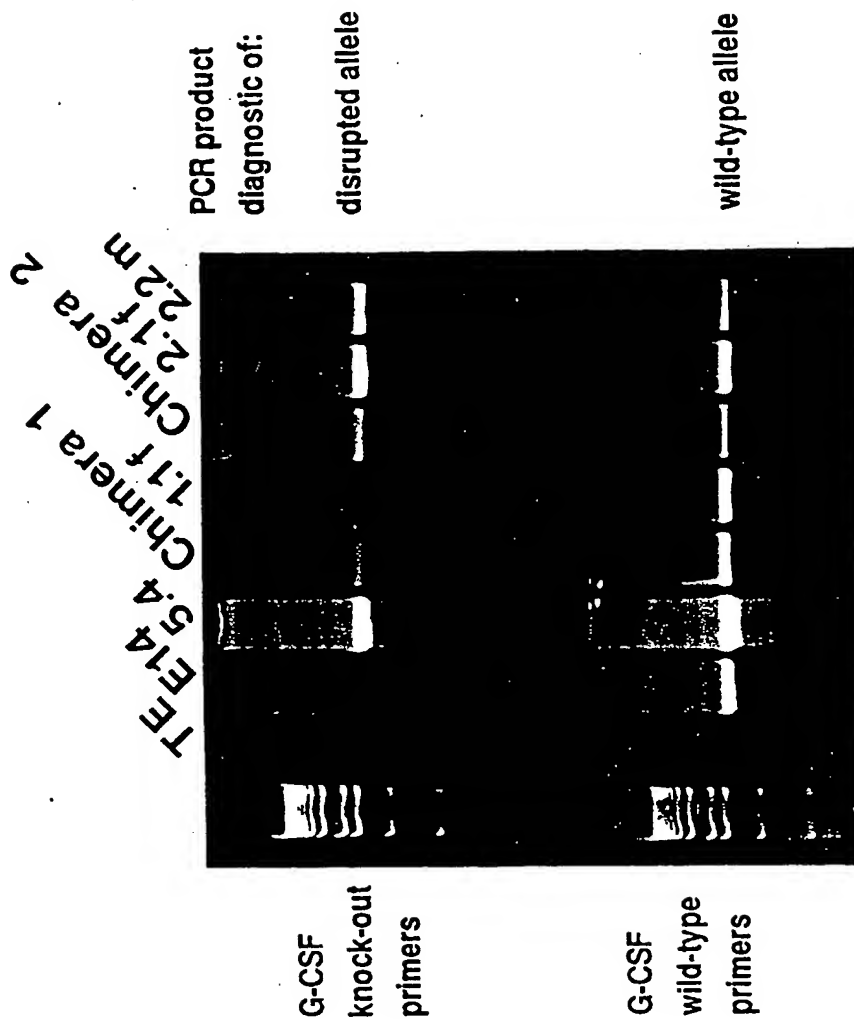


FIGURE 10

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Organ Conditioned Media on BAF3-GR versus BAF3 Cells

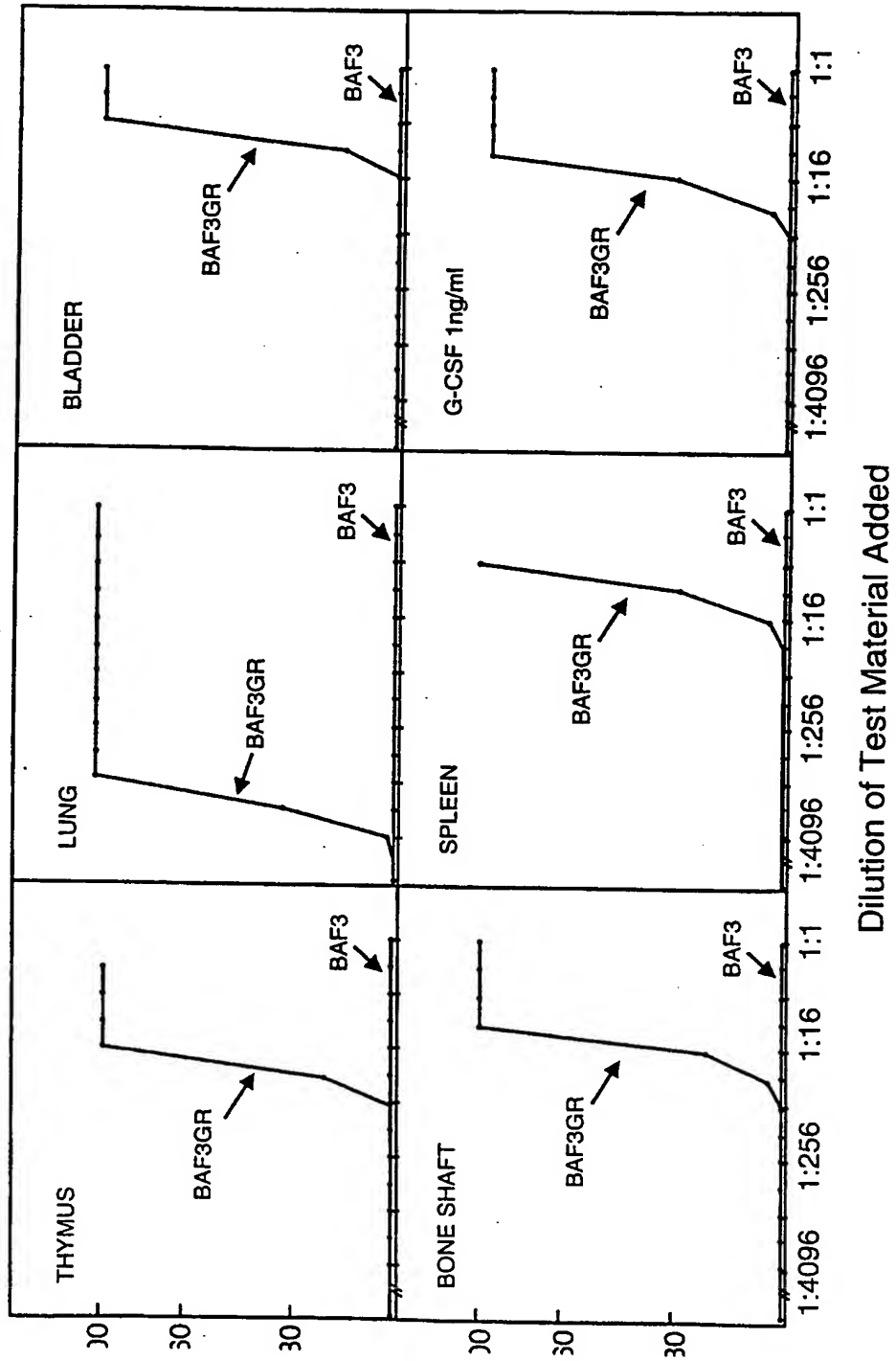


FIGURE 11

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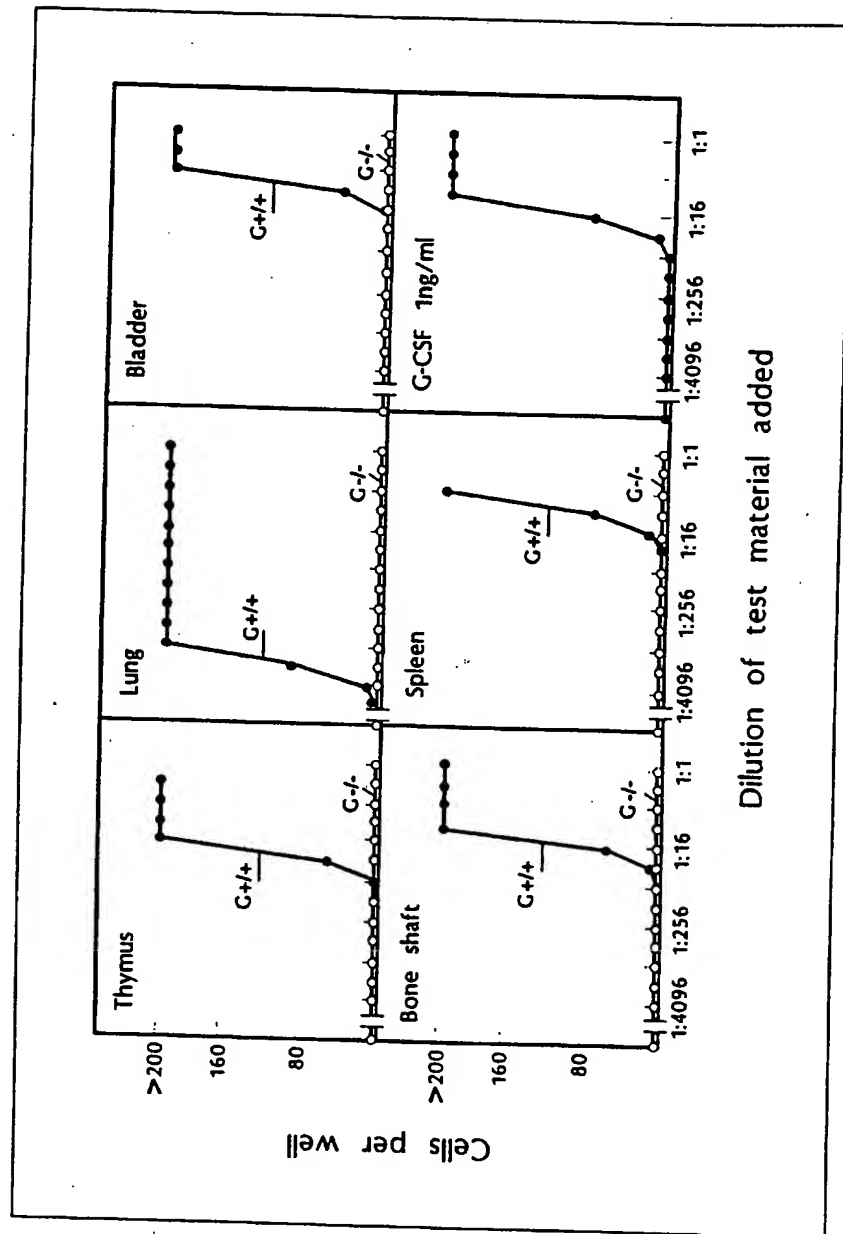



FIGURE 13a

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 94/00103

A. CLASSIFICATION OF SUBJECT MATTER Int. Cl. ⁵ C12N 015/27, A01K 067/027, C12Q 001/68, C07K 15/06, C07G 15/00, A61K 037/02 According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) WPAT AND CHEM ABS SEE DETAILS IN ELECTRONIC DATABASE BOX BELOW Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched AU A01K 067/027, C12N 015/27, A61K 037/02, A61K 048/00 BIOTECH (SEE DETAILS IN ELECTRONIC DATABASE BOX BELOW) Electronic data base consulted during the international search (name of data base, and where practicable, search terms used) DERWENT WPAT DATABASE, KEYWORDS: COLONY (W) STIMULATING (W) FACTOR # OR CSF AND DISRUPT: OR INSERT: OR DELET: OR MODIF: OR MUTAT OR MUTANT # OR MUTEIN # CHEMICAL ABSTRACTS DATABASE, KEYWORDS AS ABOVE BIOTECHNOLOGY ABSTRACTS DATABASE, KEYWORDS AS ABOVE					
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.			
X	EP, 0505123 A1 (AMGEN INC.) published 23 September 1992 (23.09.92) see whole document	33-37 and 52			
X	EP, 0373679 (AMGEN INC.) published 20 June 1990 (20.06.90) see whole document	33-37 & 52			
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> See patent family annex. </div> </div>					
<table style="width: 100%; border: none;"> <tr> <td style="width: 33%; vertical-align: top;"> * Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed </td> <td style="width: 33%; vertical-align: top;"> "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family </td> <td style="width: 33%;"></td> </tr> </table>			* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family	
* Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family				
Date of the actual completion of the international search 1 July 1994 (01.07.94)		Date of mailing of the international search report 4 July 1994 (04.07.94)			
Name and mailing address of the ISA/AU AUSTRALIAN INDUSTRIAL PROPERTY ORGANISATION PO BOX 200 WODEN ACT 2606 AUSTRALIA Facsimile No. 06 2853929		Authorized officer  ARATI SARDANA Telephone No. (06) 2832627			

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU 94/00103

Category*	Citation of document, with indication, where appropriate of the relevant passages	Relevant to Claim No.
X	AU 65493/86 (DE LAMARTER, JOHN) published 9 April 1987 (09.04.87) See claim 16	52
X	Australian Biotechnology, April 1993, 3, 2, pages 73-75 (Elwood and Begley): "Human Gene Therapy and the Clinical Implications" See whole article	42
X	Clinical Chemistry, (1994), 40, 4 pages 510-512. (K.W. Culver) "Clinical Applications of gene therapy for cancer" see whole article [note: the paper was presented at the San Diego conference: Beyond DNA probes, San Diego, CA, November 18-20, 1993]	42
P X	AU 48450/93 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA) published 17 March 1994 (17.03.94) See whole document	42
Y	Annual. Rev. Immunol. (1992), 10, pages 705-30 (Koller and Smithies) "Altering genes in animals by gen targeting". See whole article	1-19 48-51
Y	Oncogene (1990), 5, pages 1799-1807 (C. Heberlein et al) "Retrotransposons as mutagens in the induction of growth autonomy in hematopoietic cells". See page 1805 column 2 paragraph 2	1-19 48-51
Y	Eur. J. Biochem. (1987), 169, pages 353-358. (N.J. Gough et al) "Mutagenesis of murine granulocyte/macrophage - colony - stimulating factor reveals critical residues near the N terminus". See abstract	1-19 48-51
A	Mol. Biol. Med. (1989), 6, pages 557-565 (M.J. Evans) "Potential for Genetic Manipulation of Mammals". See whole article.	1-19 48-51
A	Cold Spring Harbor Symposia Quant Biol, 51, (Mol. Biol. Homo Sapiens, Pt. 2), 86.00.00 pages 899-909 (Le Beau et al) "Assignment of the GM-CSF, CSF-1, and FMS genes to Human Chromosome 5 Provides Evidence for linkage of a family of Genes Regulating Hematopoiesis and for their Involvement in the Deletion (5q) in Myeloid Disorders". See page 904	1-19 48-51

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/ AU 94/00103

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member			
EP	505123	AU NZ	17476/92 241954	AU,B2 US	643141 5284656	JP	5507944
EP	373679	AU US	46689/89 5104651	AU,B2 WO	621695 9006762	JP	3502808
AU	65493	DK	2828/87	EP	238655	WO	8702060
AU	48450	NONE					

END OF ANNEX